

Separations in Proof Complexity and TFNP

William Pines
Robert Robere
Ran Tao
McGill

Alexandros Hollender
Oxford

Mika Göös
Siddhartha Jain
Gilbert Maystre
EPFL

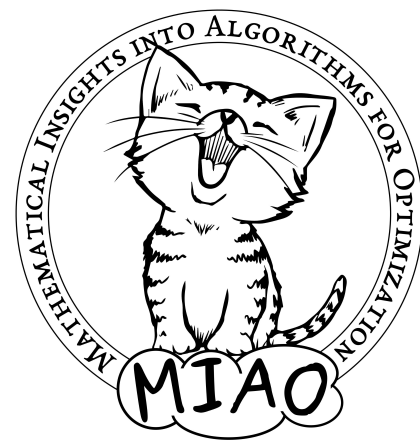
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MIAO Seminar, Copenhagen

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UNDERSTANDING THE TITLE

TFNP := Total Function NP

Polytime $R(x, y)$

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Input x

Output $y : R(x, y) = 1$ & $|y| \leq |x|^{O(1)}$

TFNP := Total Function NP

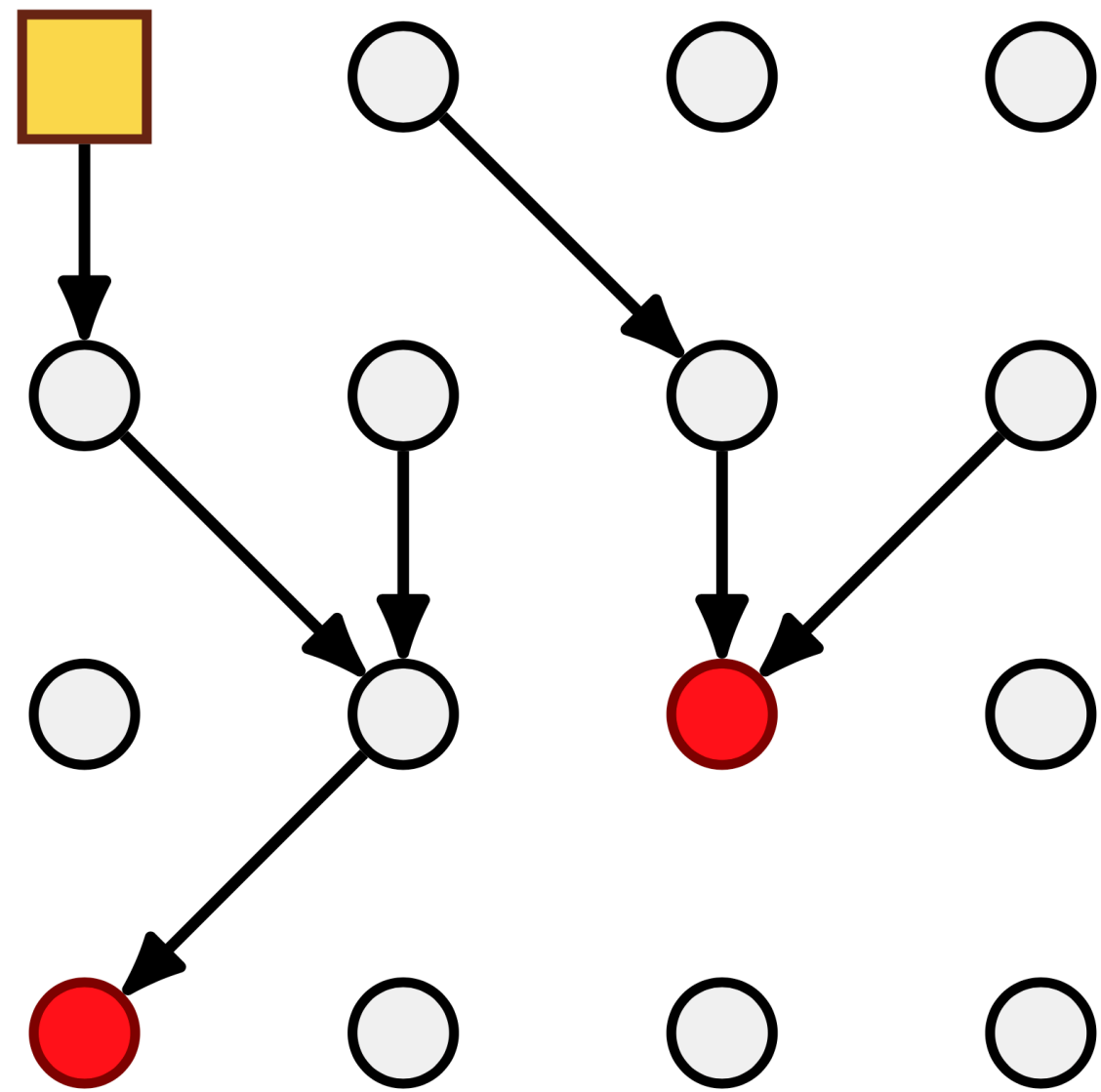
Polytime $R(x, y)$

Input x

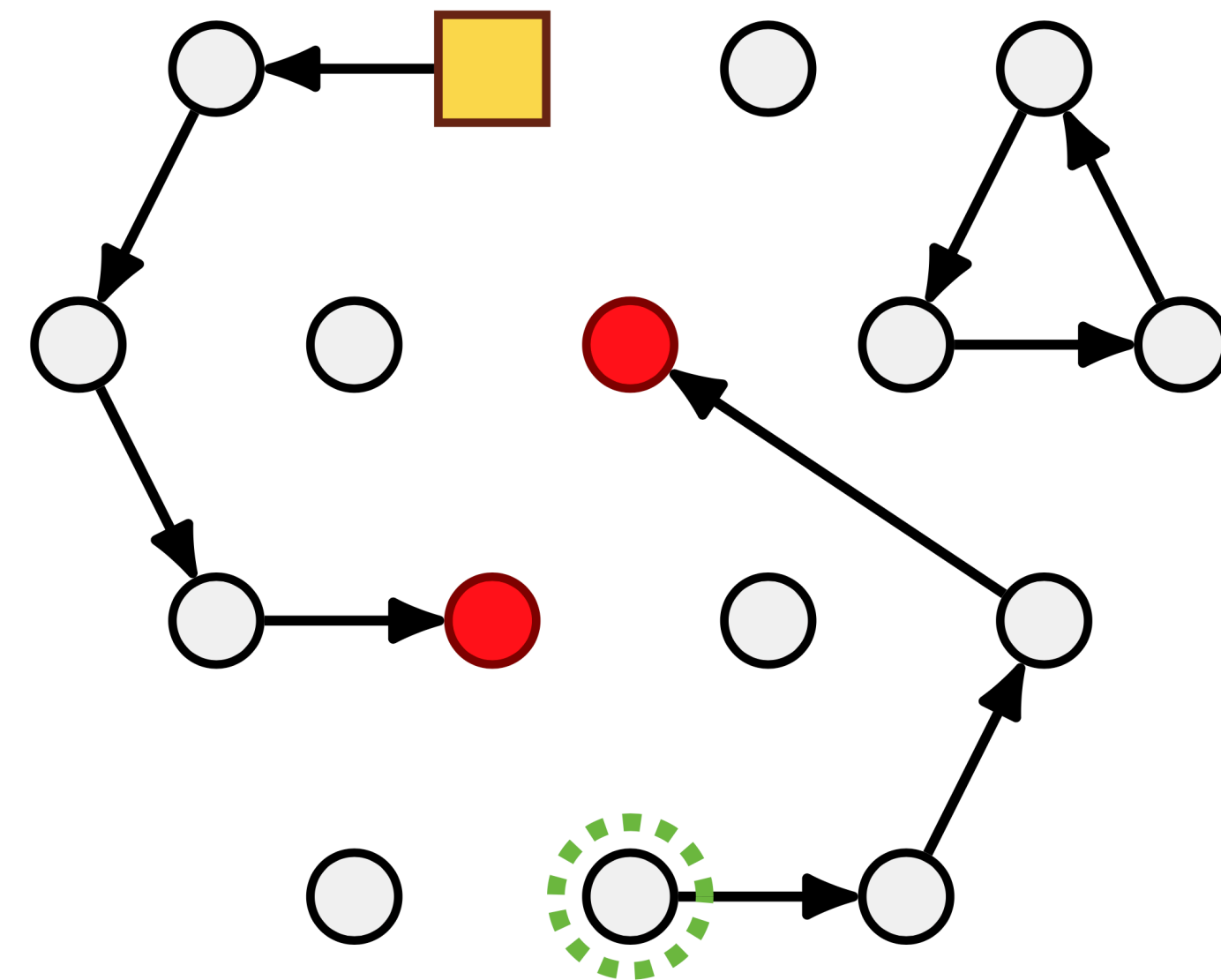
Output $y : R(x, y) = 1$ & $|y| \leq |x|^{O(1)}$

Promise R is total: $\forall x \exists y R(x, y) = 1$

Two Problems

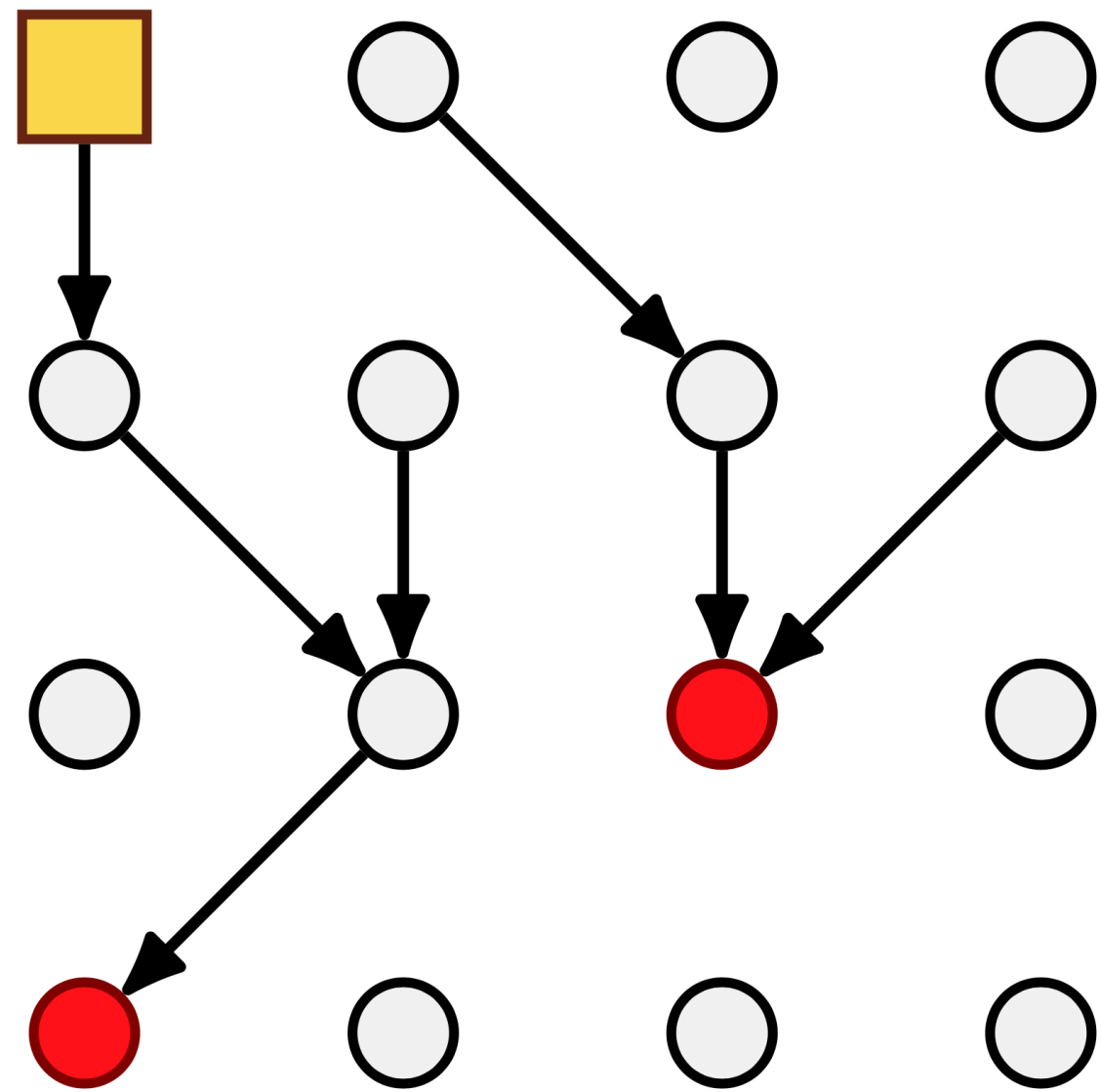


Sink-of-DAG (SoD)

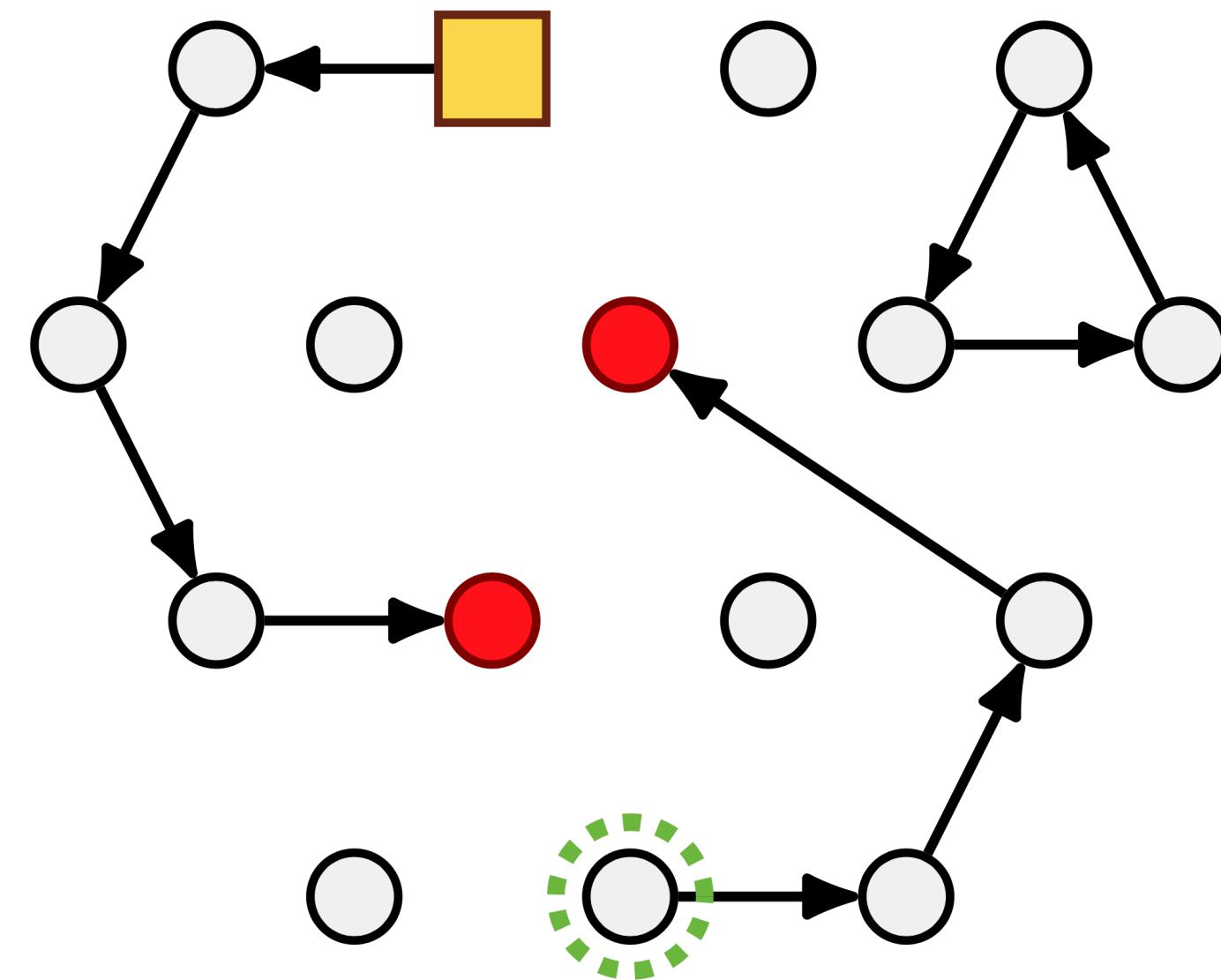


Sink-of-Line (SoL)

Two (& 1/2) Problems



Sink-of-DAG (SoD)



Sink-of-Line (SoL)
End-of-Line (EoL)

... And Three Classes

$$PLS = \{P : P \leq \text{SoD}\}$$

$$PPADS = \{P : P \leq \text{SoL}\}$$

$$PPAD = \{P : P \leq \text{EoL}\}$$

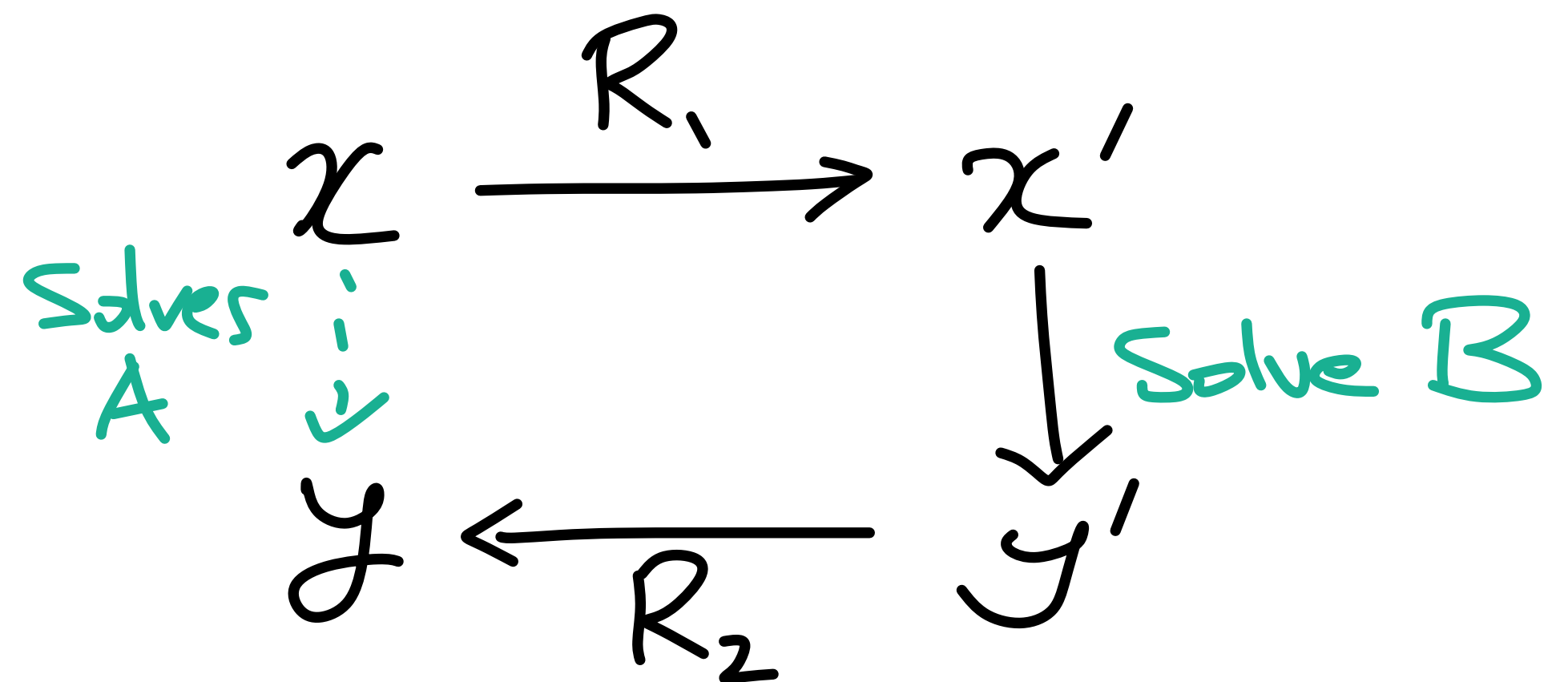
... And Three Classes

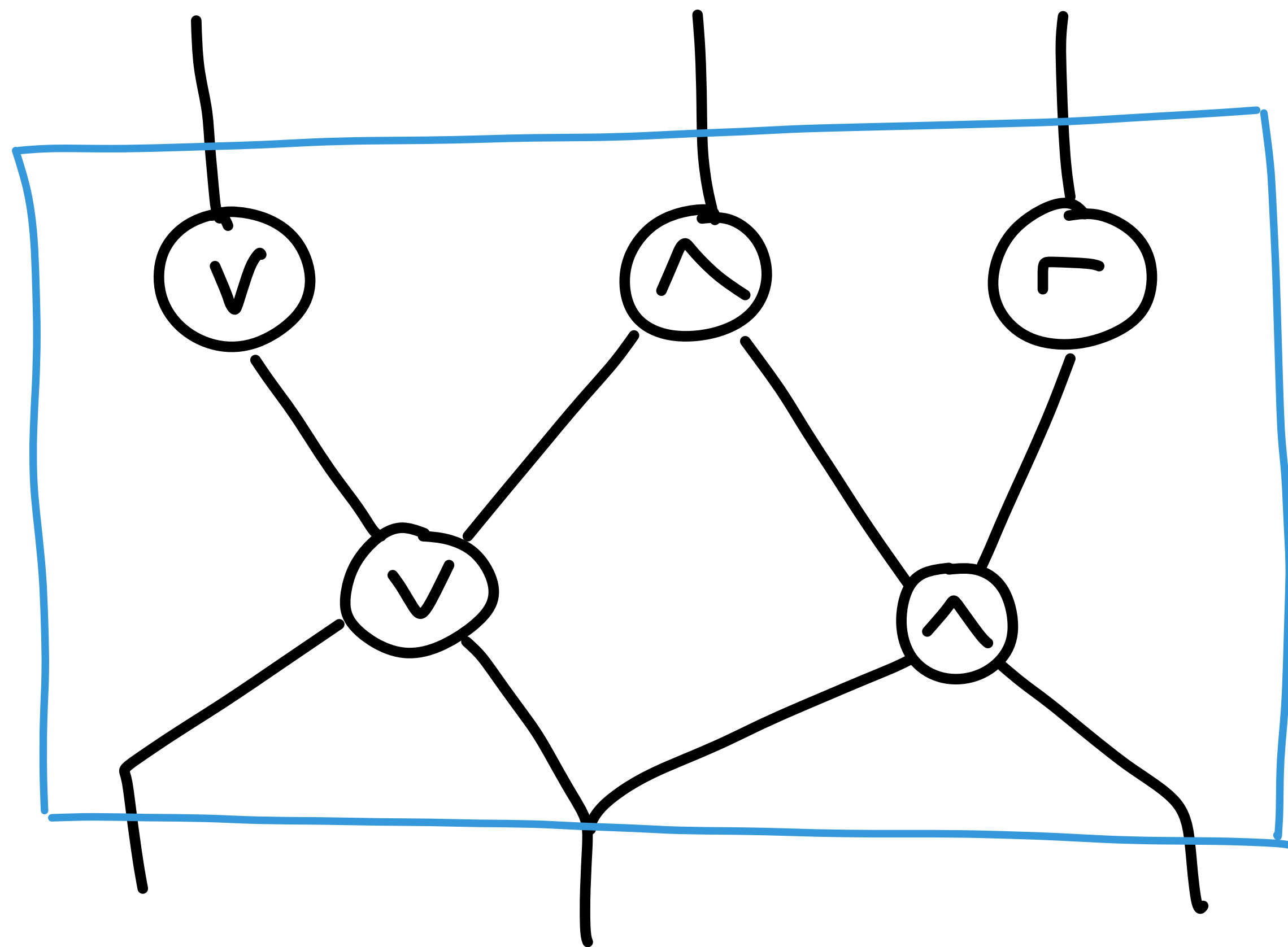
$$\text{PLS} = \{P : P \leq \text{SOD}\}$$

$$\text{PPADS} = \{P : P \leq \text{SOL}\}$$

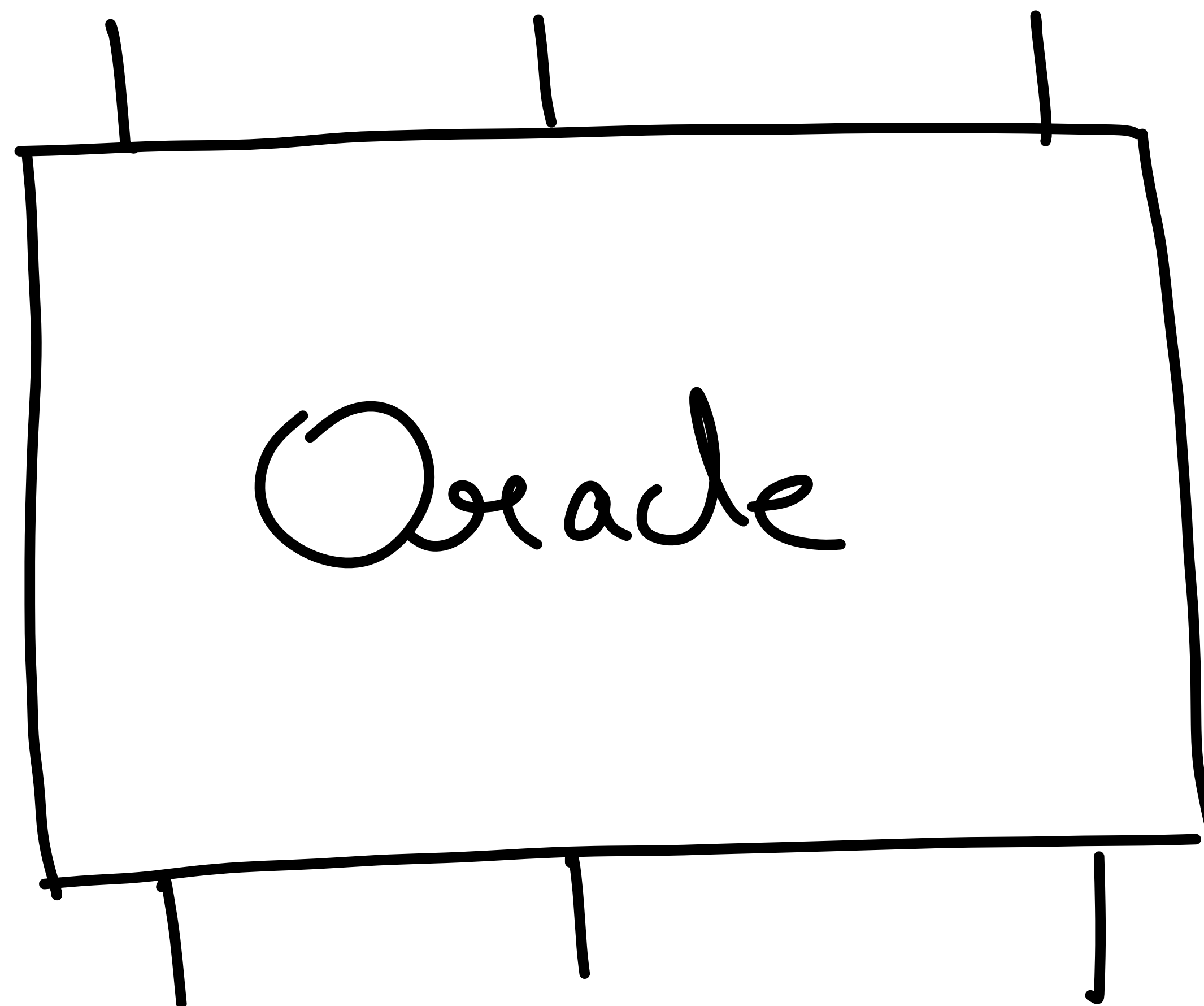
$$\text{PPAD} = \{P : P \leq \text{EOL}\}$$

$A \leq B$ if $\exists R_1, R_2$



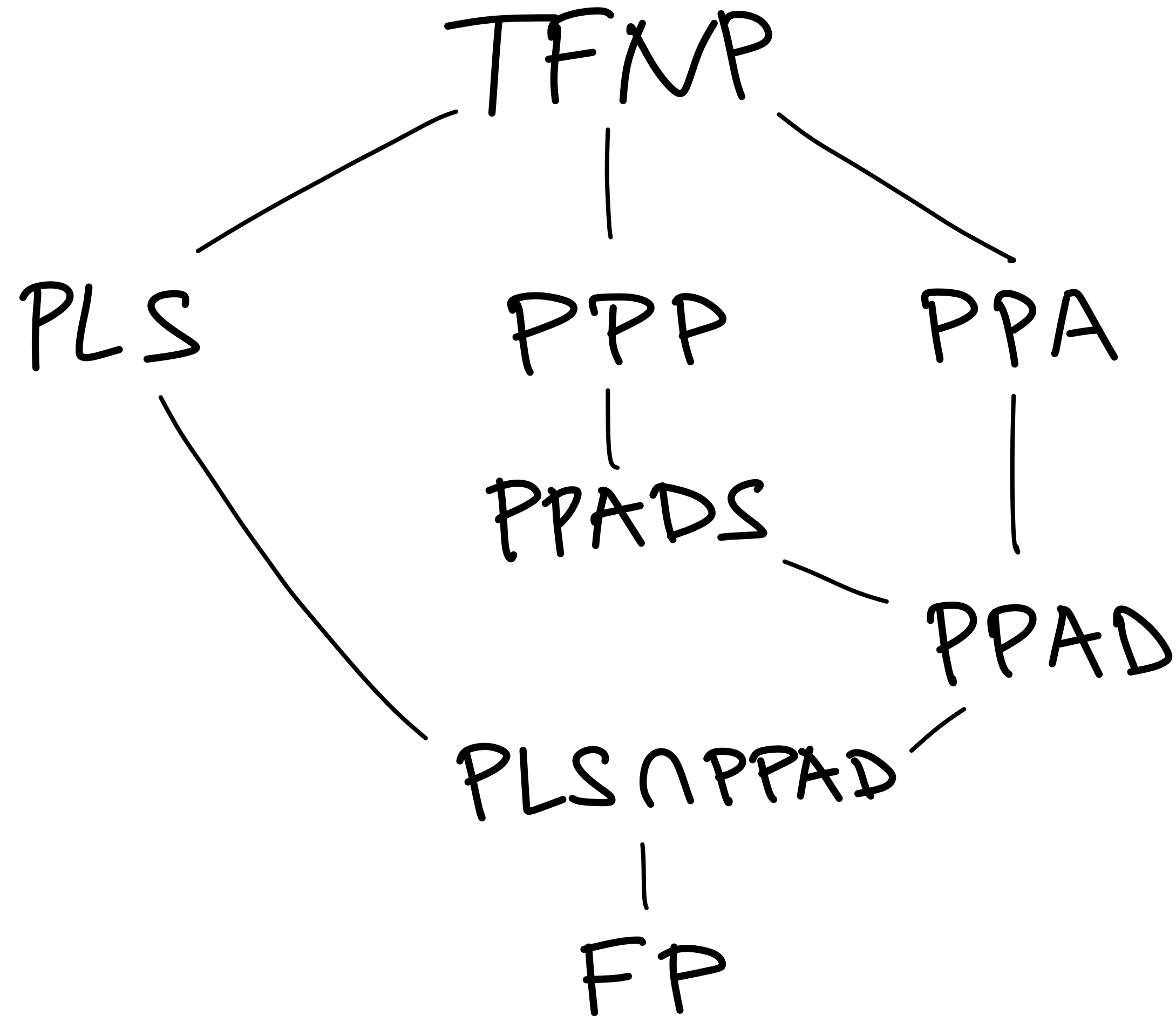


White-box



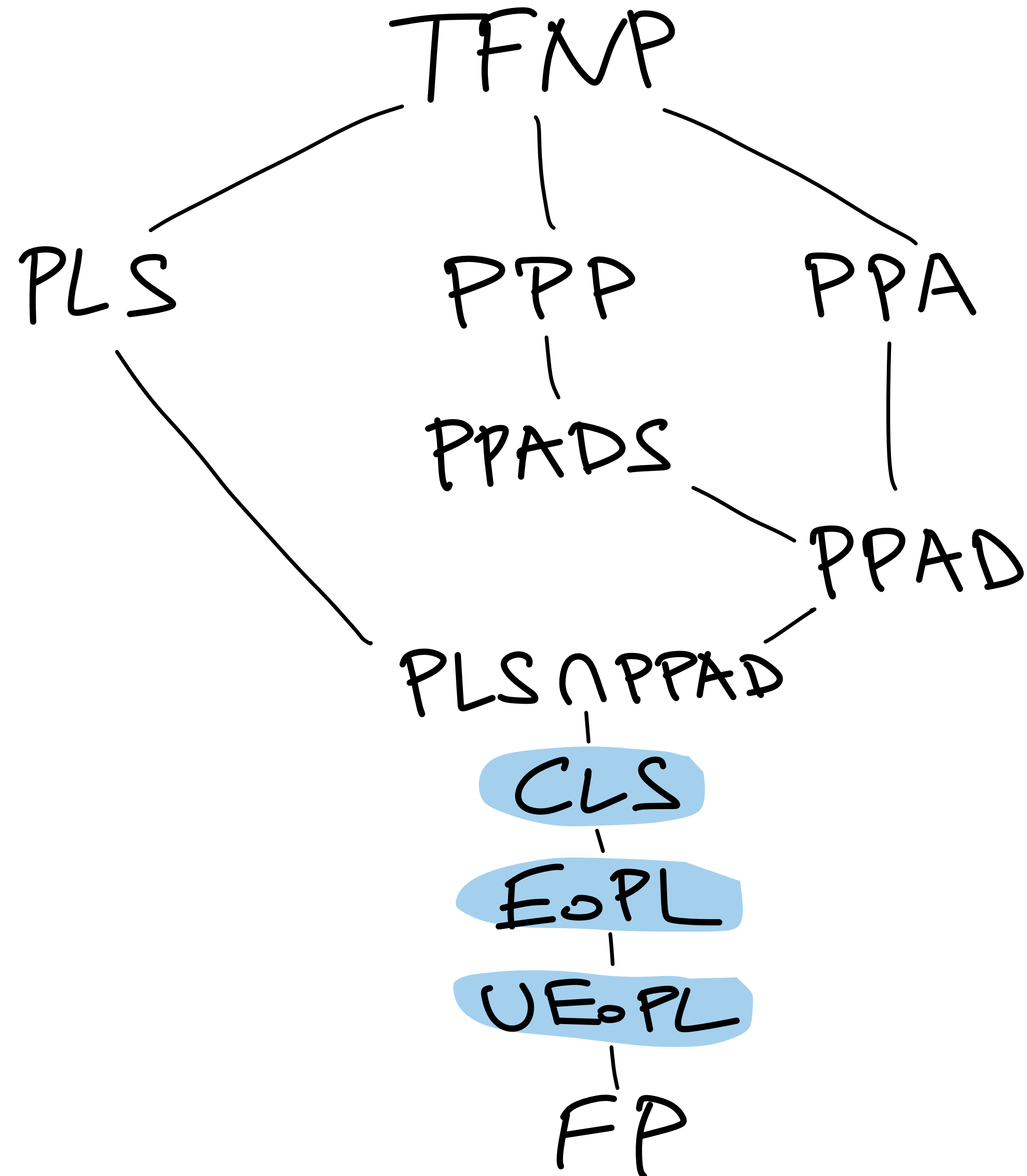
Black-box

Classical hierarchy (90's and 00's)



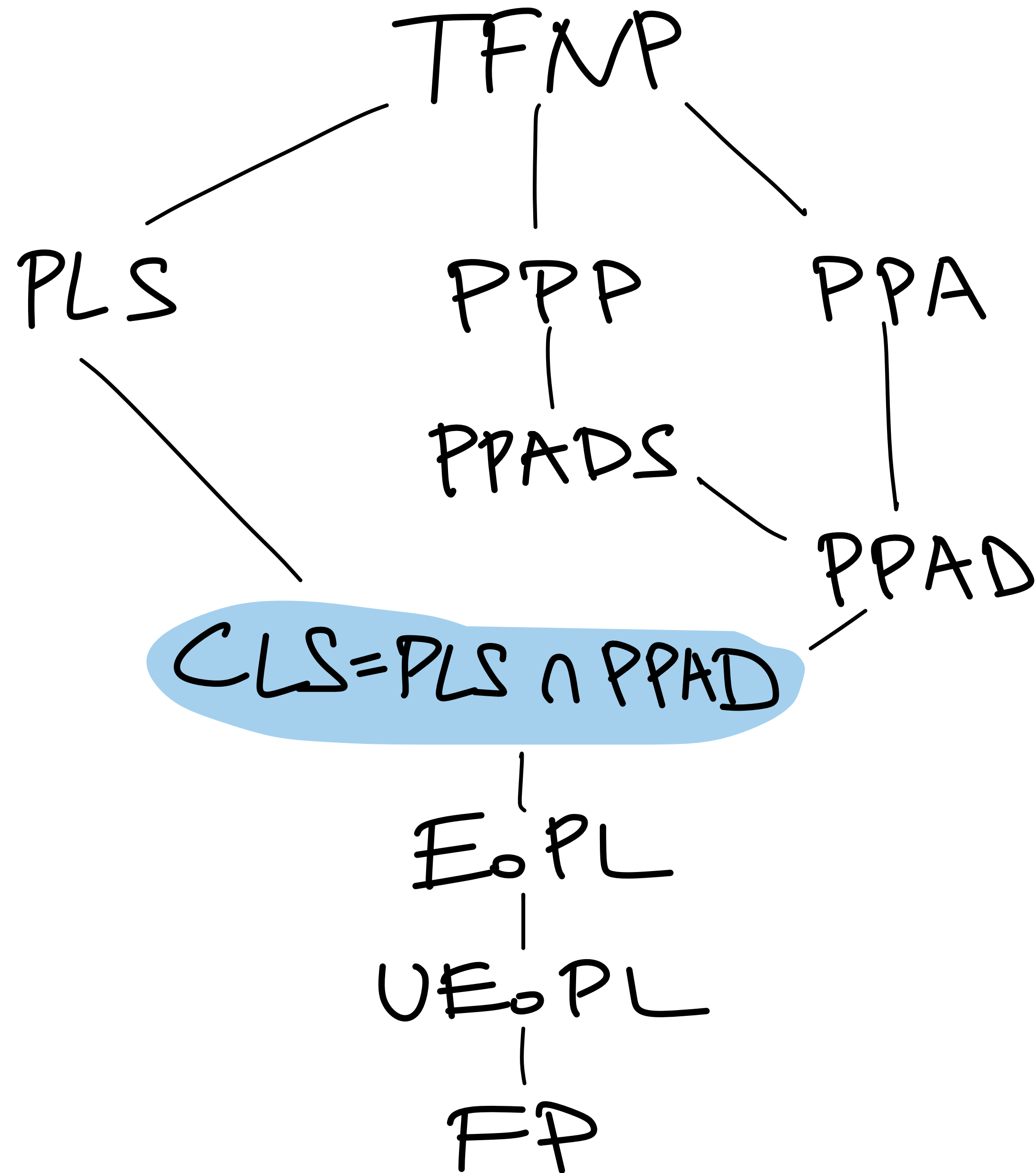
[Pap94]
[JPY88]

New classes (10's)



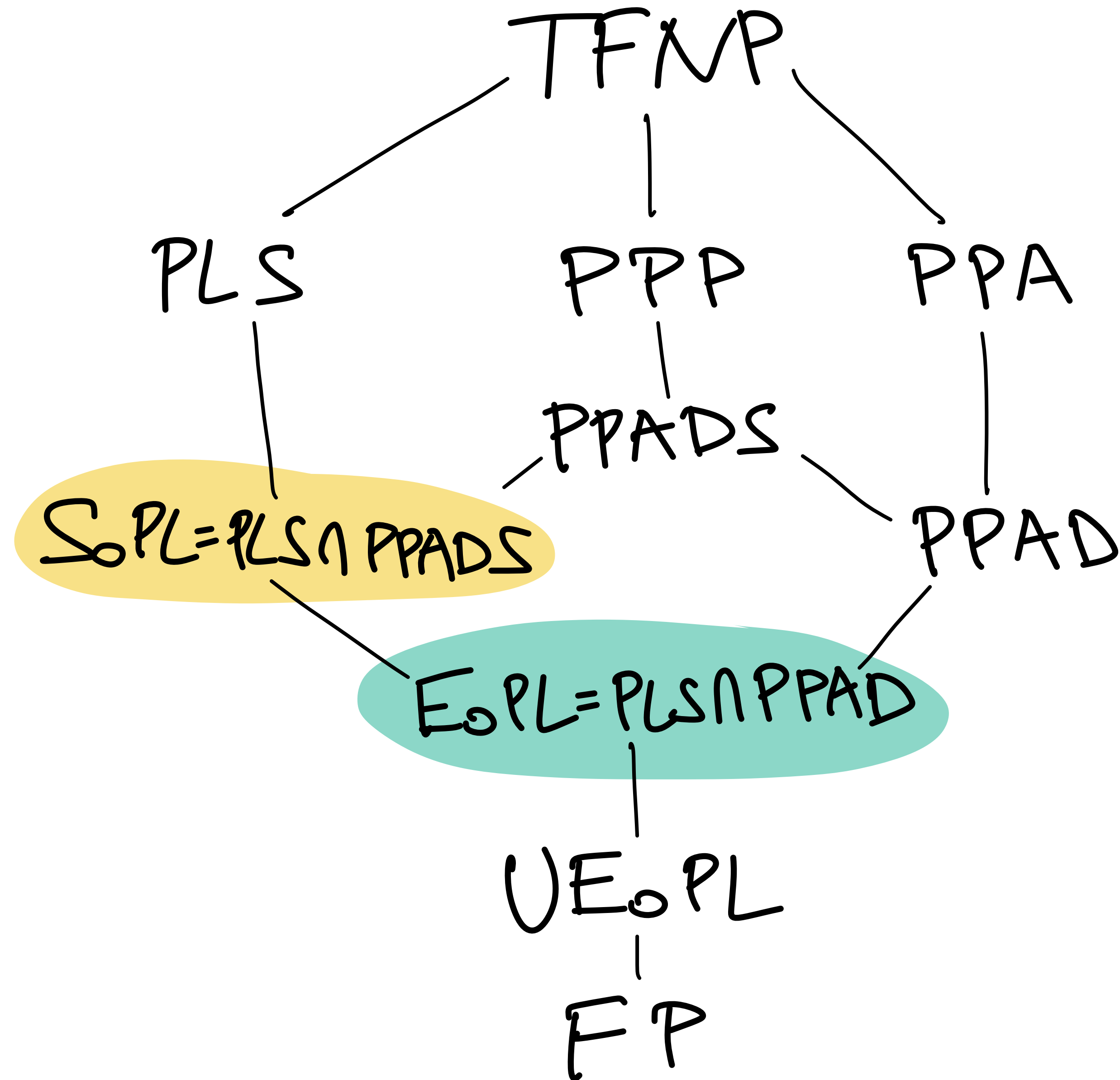
[HY20]
[FGMS20]
[DP11]

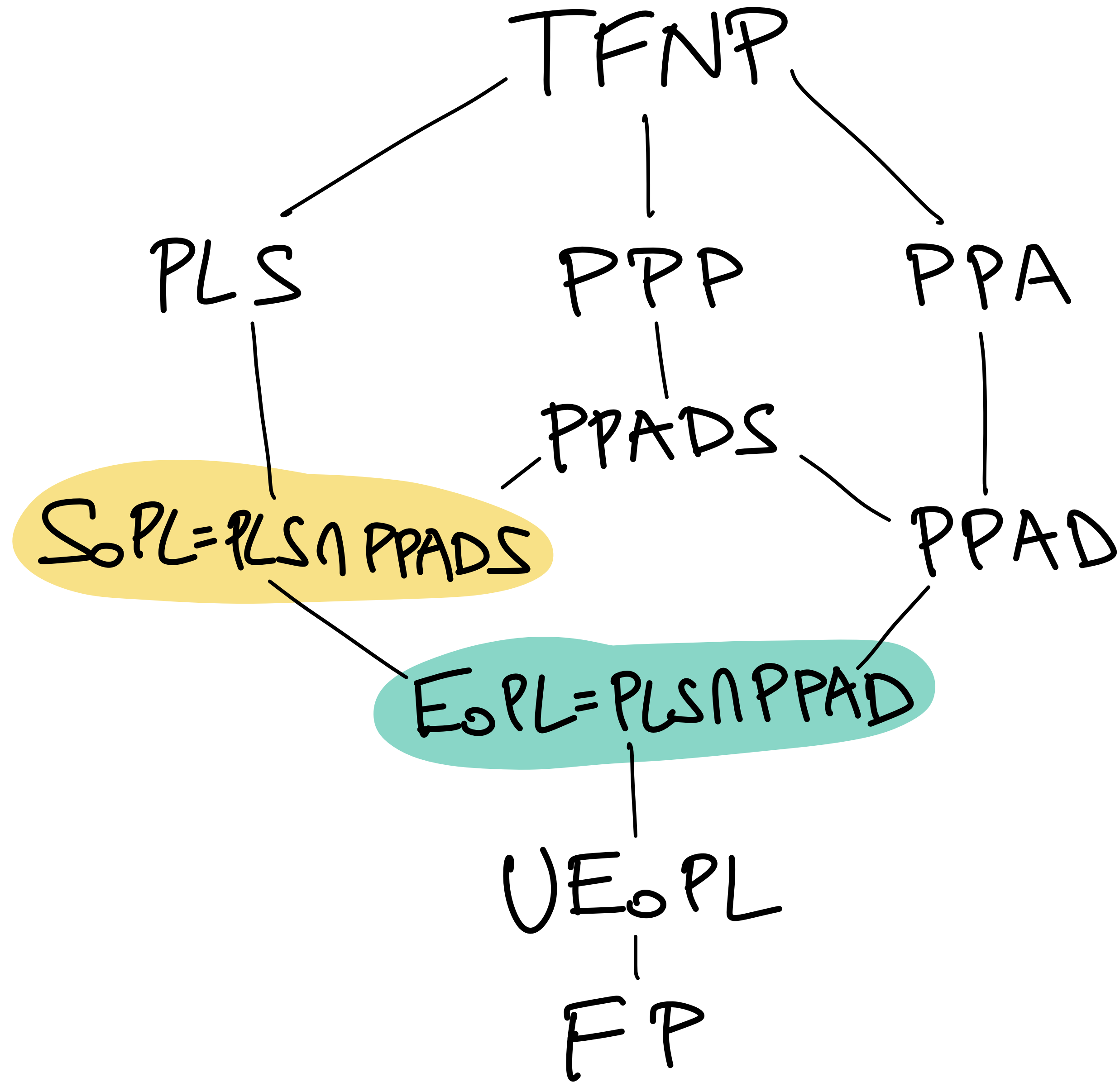
A Breakthrough Collapse (2021)



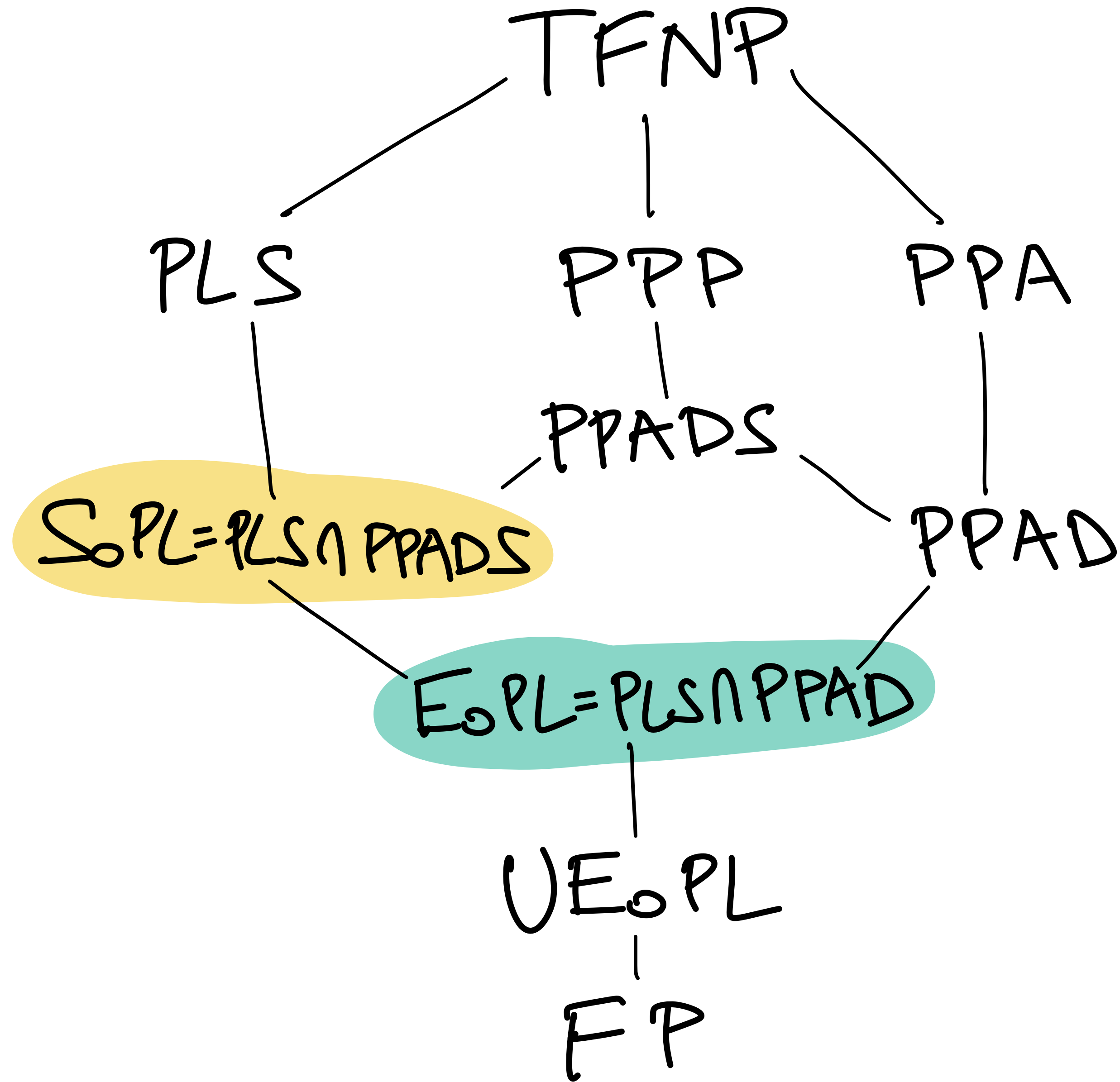
(Best paper!)
[FGHS21]

Further Collapses (2022)





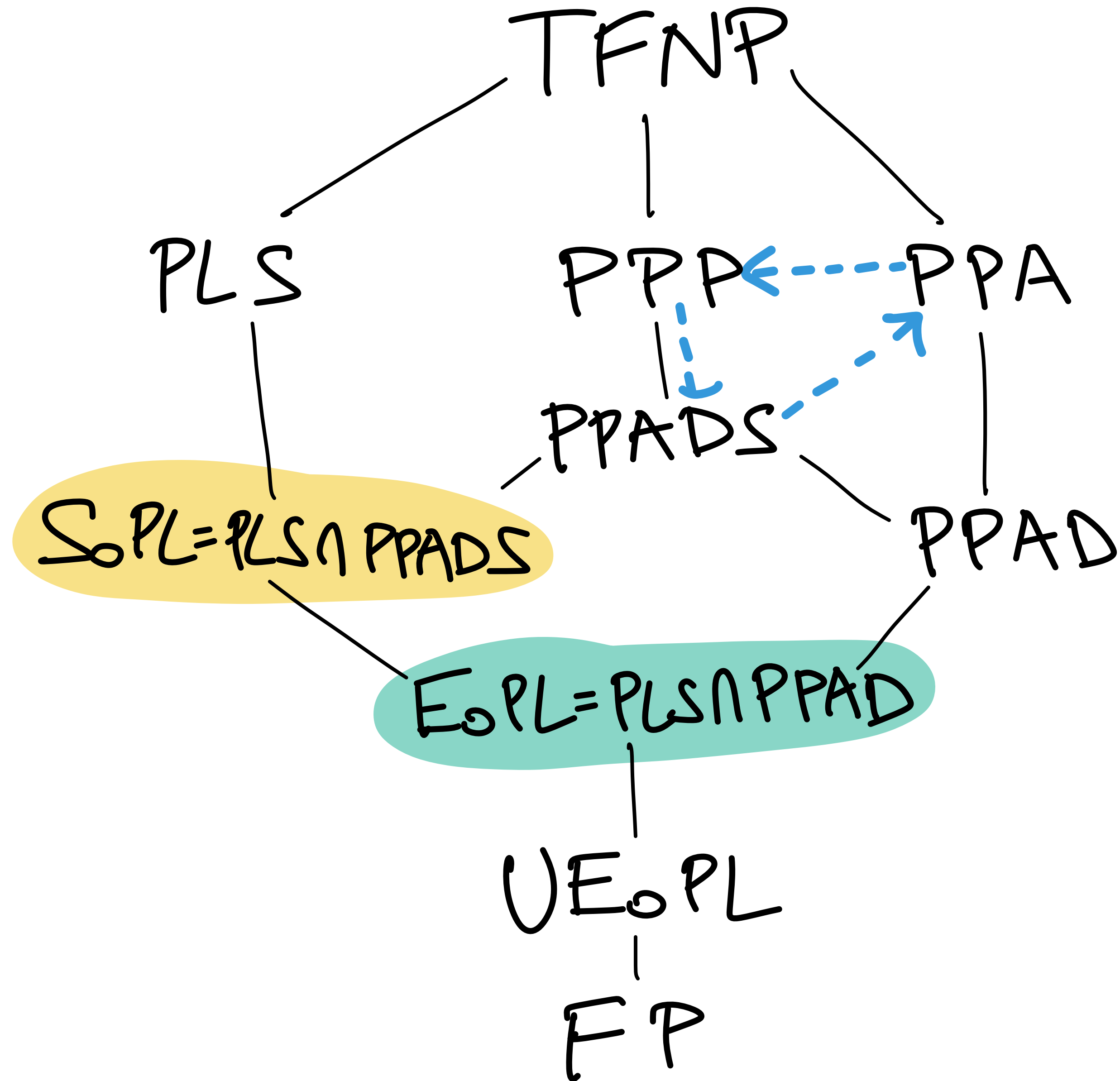
More Collapses?



More Collapses?

White-box sep. $\Rightarrow P \neq NP$

Black-box sep. possible

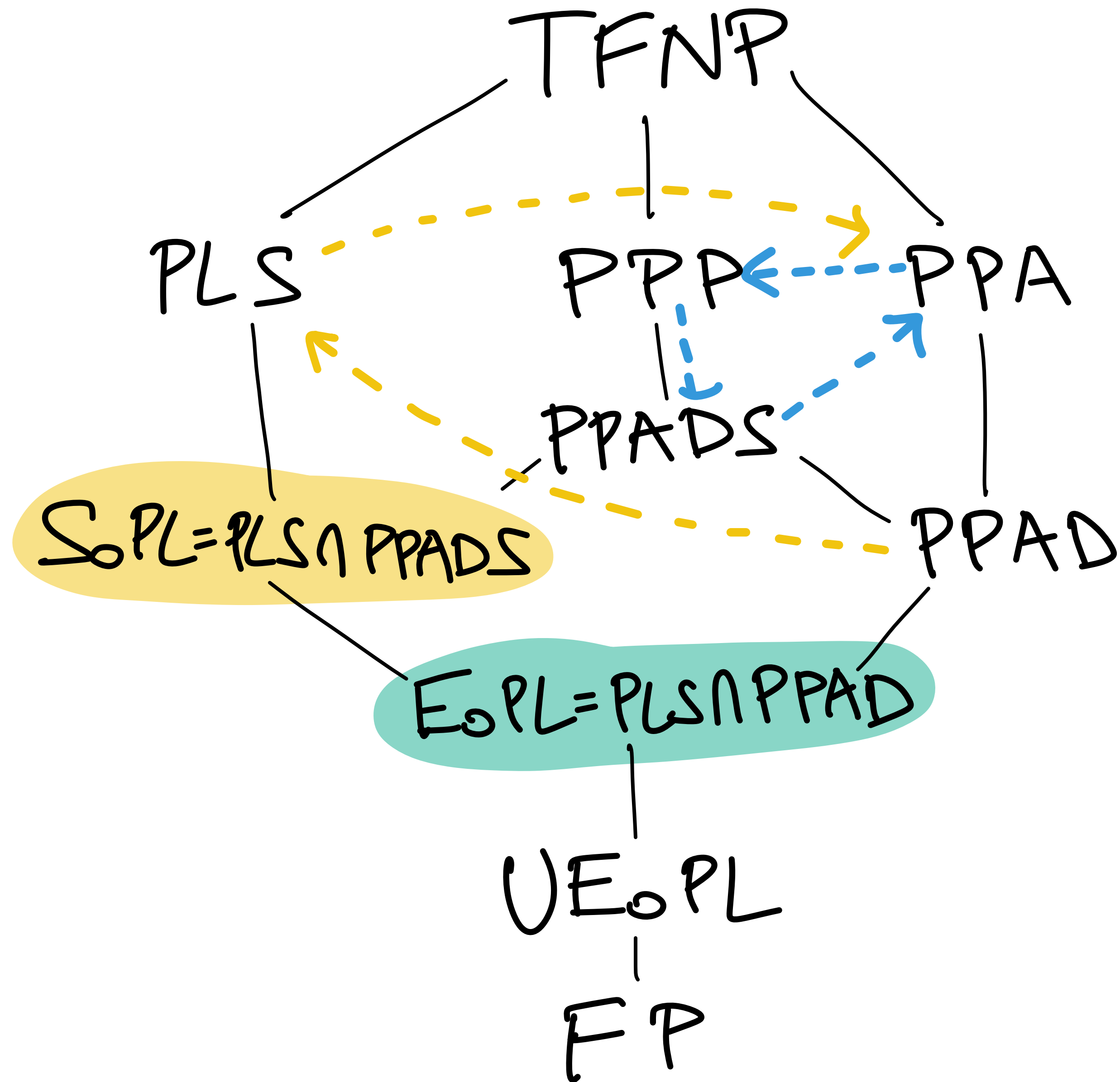


More Collapses?

White-box sep. $\Rightarrow P \neq NP$

Black-box sep. possible

Beame et al. 98'



More Collapses?

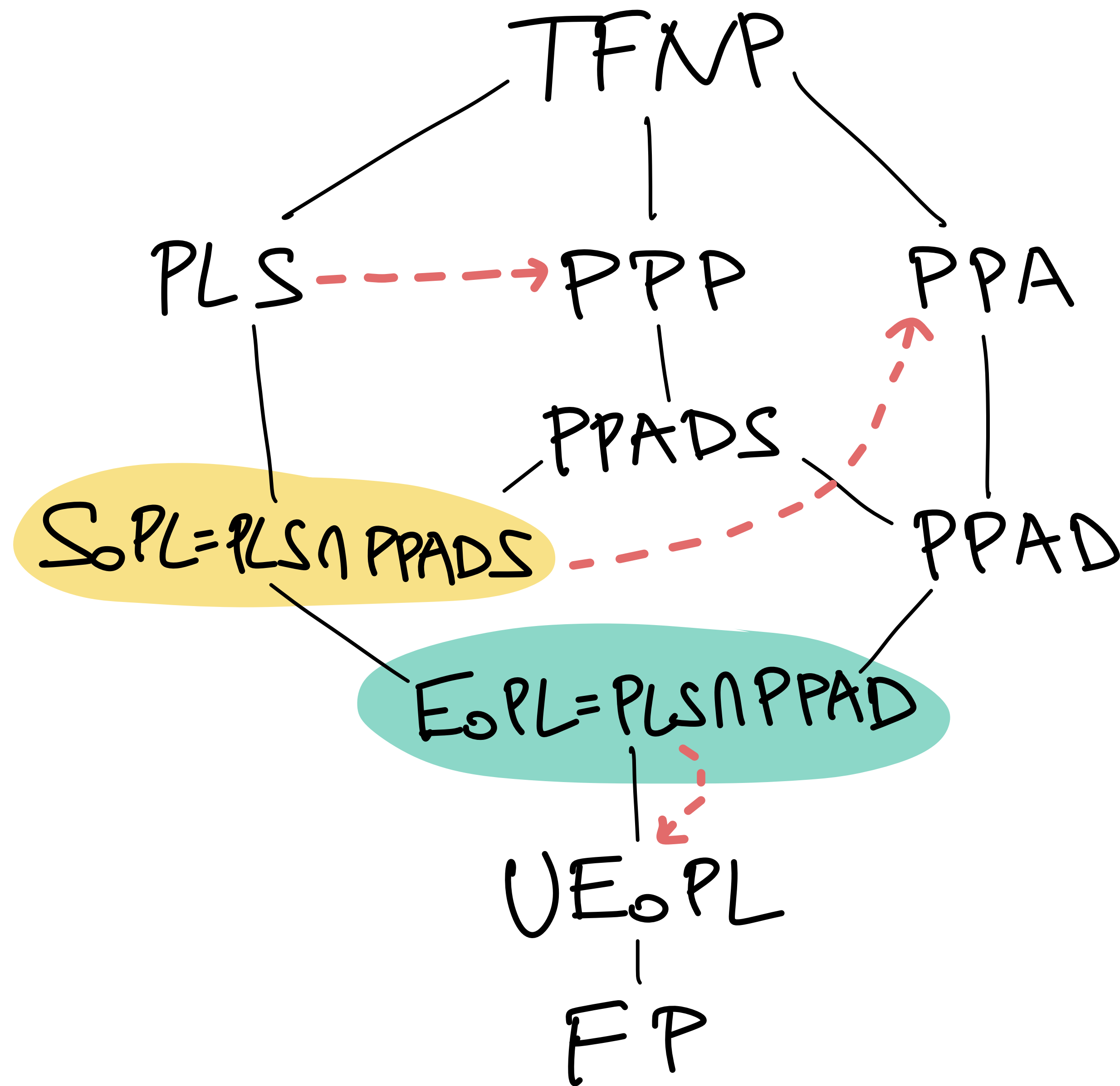
White-box sep. $\Rightarrow P \neq NP$

Black-box sep. possible

Beame et al. 98'

Mario Kari 01'

Burresh-Openheim 04'



More Collapses?
NO MORE
(BLACK-BOX)

OUR WORK



Resolution v.s. Sherali-Adams

Resolution

$$\frac{A \vee x, B \vee \neg x}{A \vee B}$$

measure: width

Simulated by

Sherali-Adams

$$\sum_i p_i(x) q_i(x) = 1 + J(x)$$

measure: degree

Resolution v.s. Sherali-Adams

Resolution

$$\frac{A \vee x, B \vee \neg x}{A \vee B}$$

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⚡ OUR RESULT ⚡: Simulation needs exp. large coefficients

Resolution v.s. Sherali-Adams

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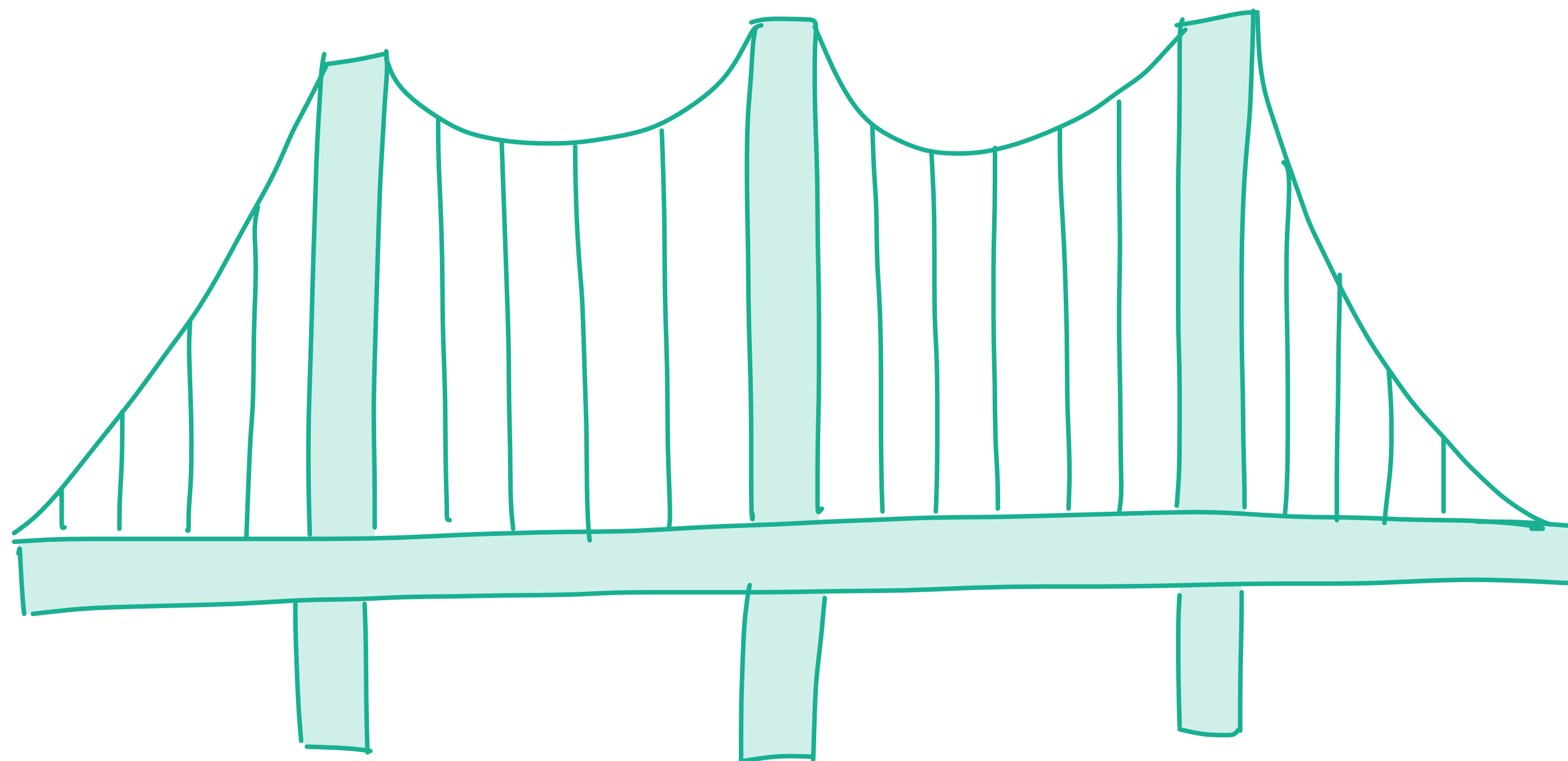
OUR RESULT: Simulation needs exp. large coefficients



PLS & PPADS

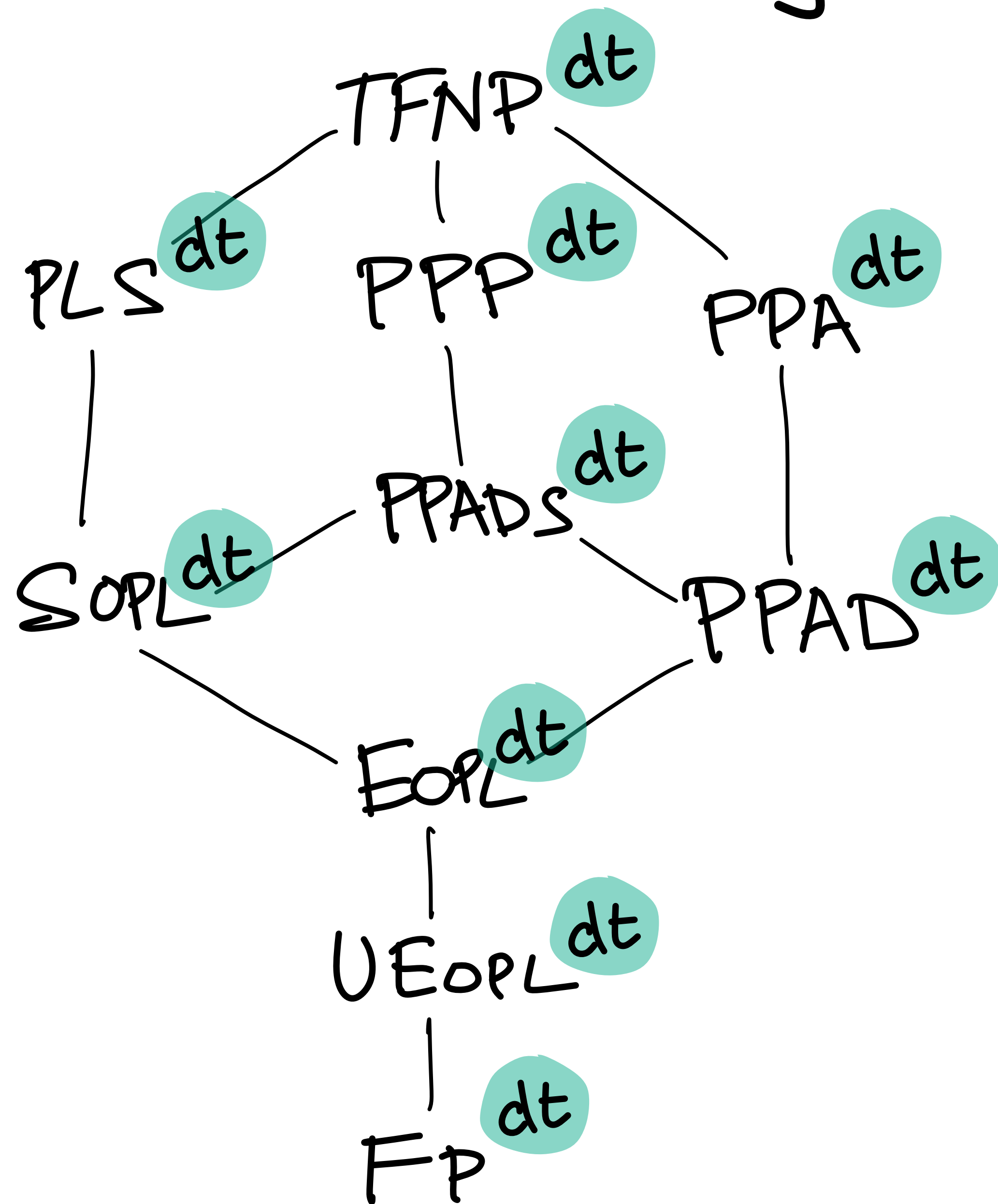
THE BRIDGE

Proof
Complexity

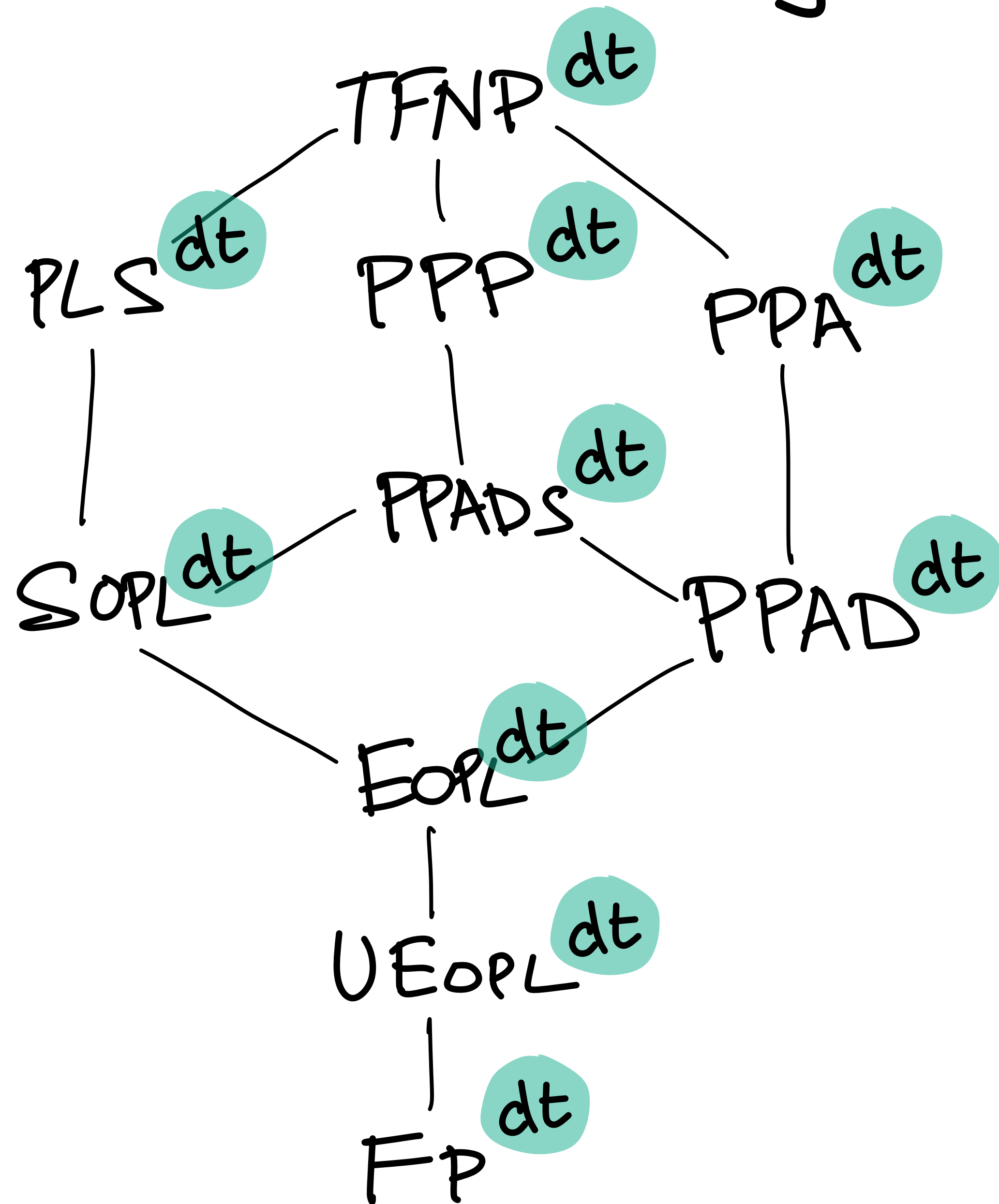


TFNP

World 1: Query analogues

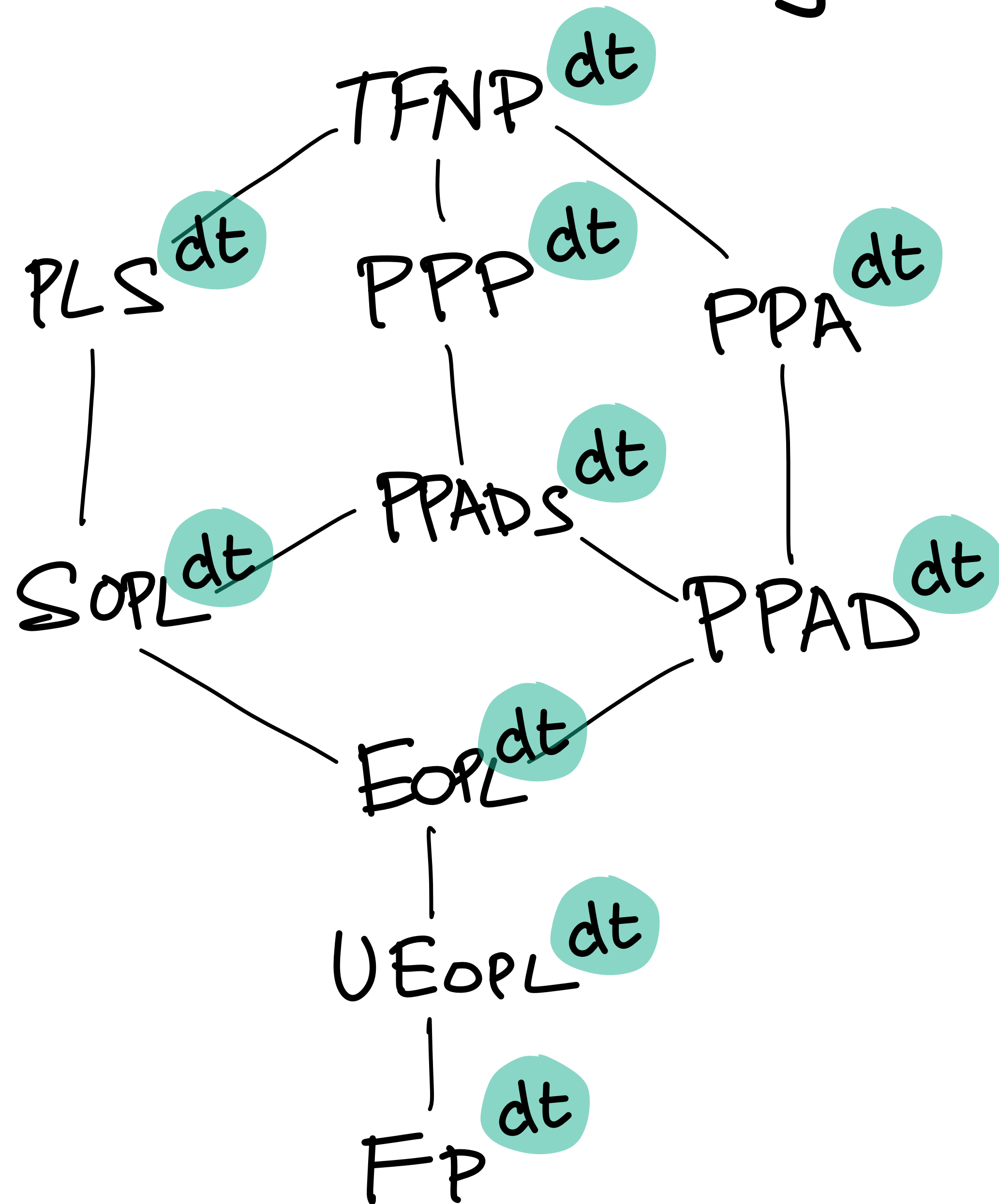


World 1: Query analogues



• dt
|||
query analogue

World 1: Query analogues

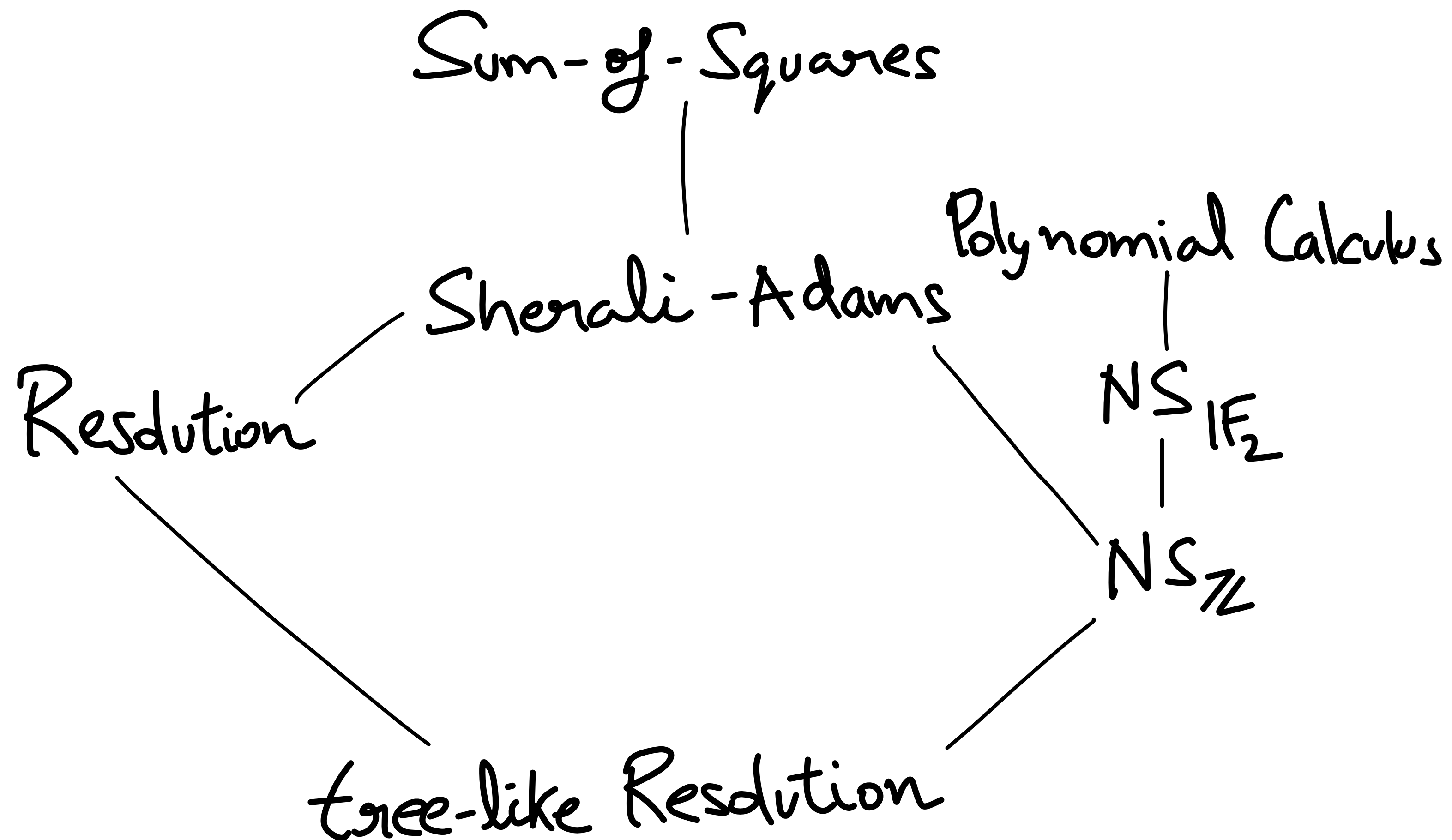


- dt
|||
query analogue

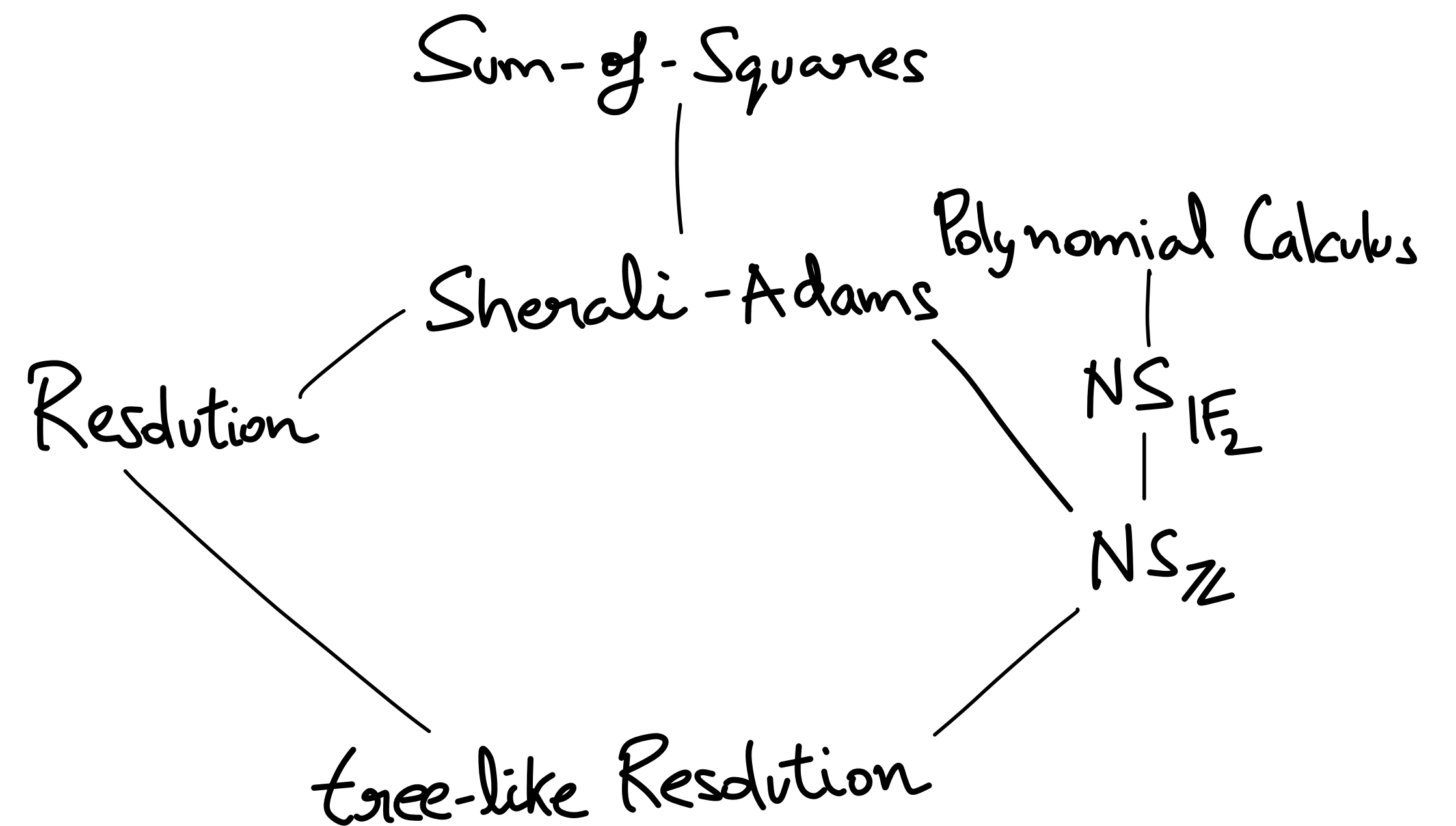
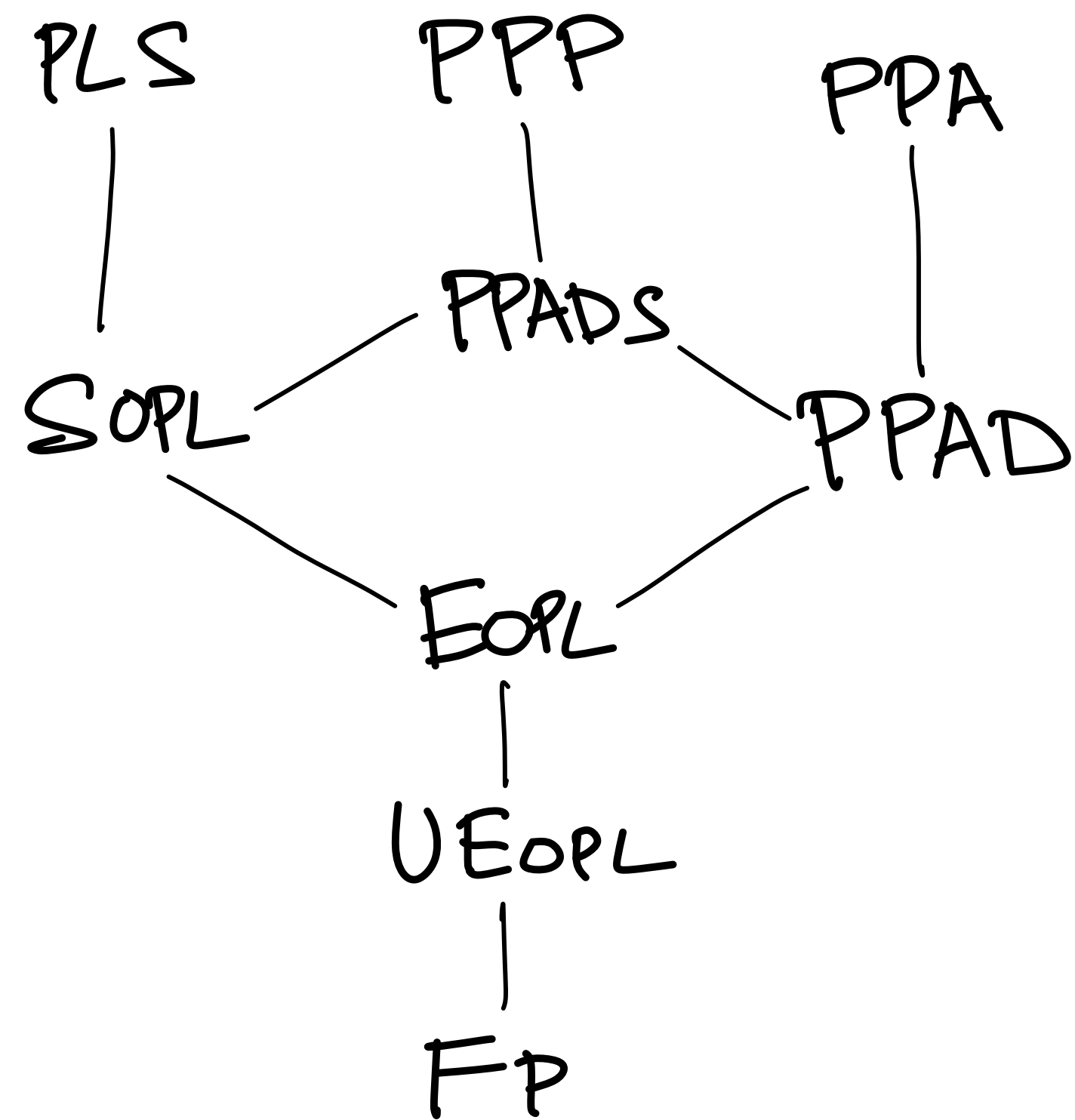
- Reductions
|||
shallow decision trees

World 2: Proof Complexity

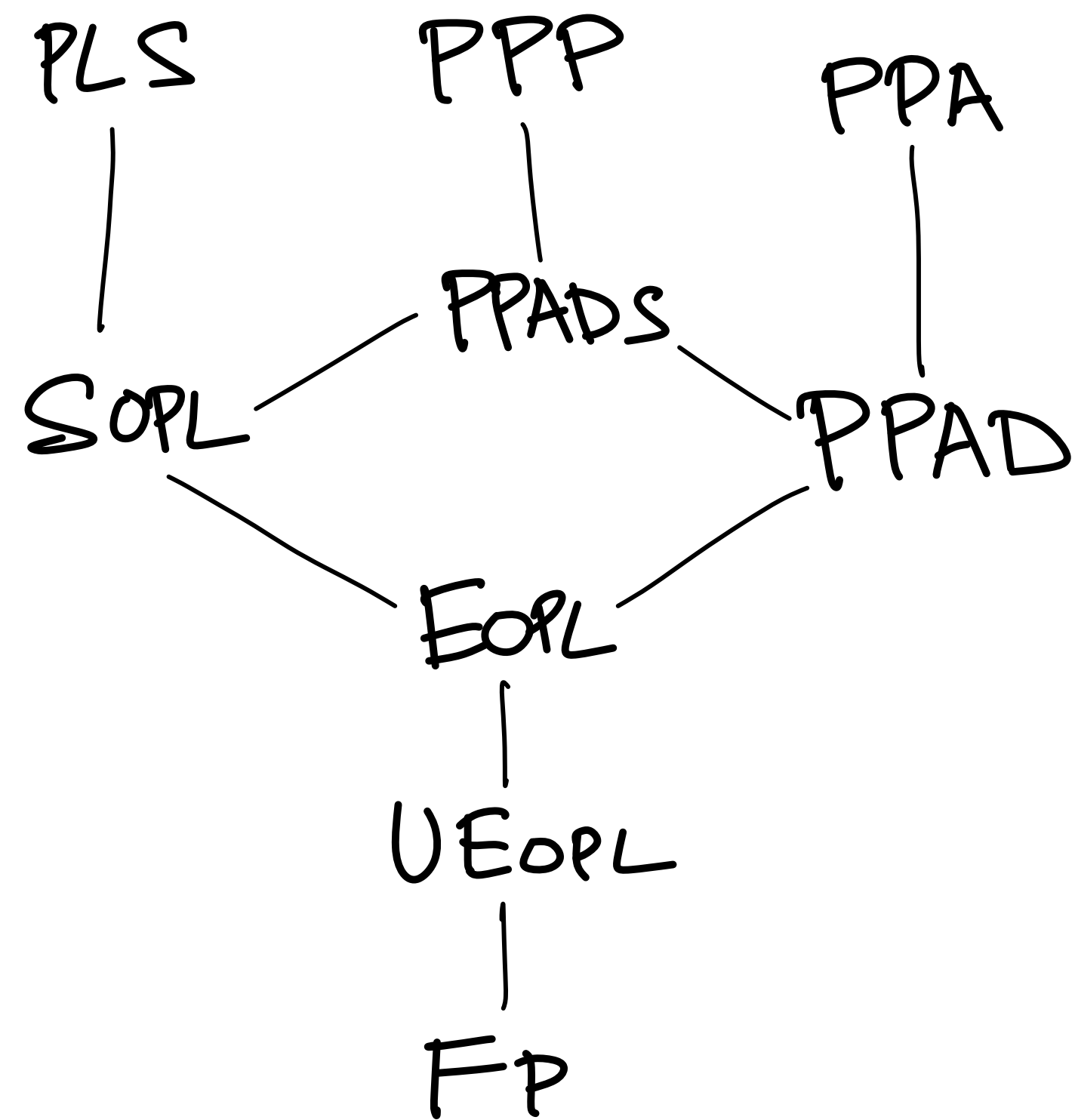
Is there a short derivation that this CNF is unsat?



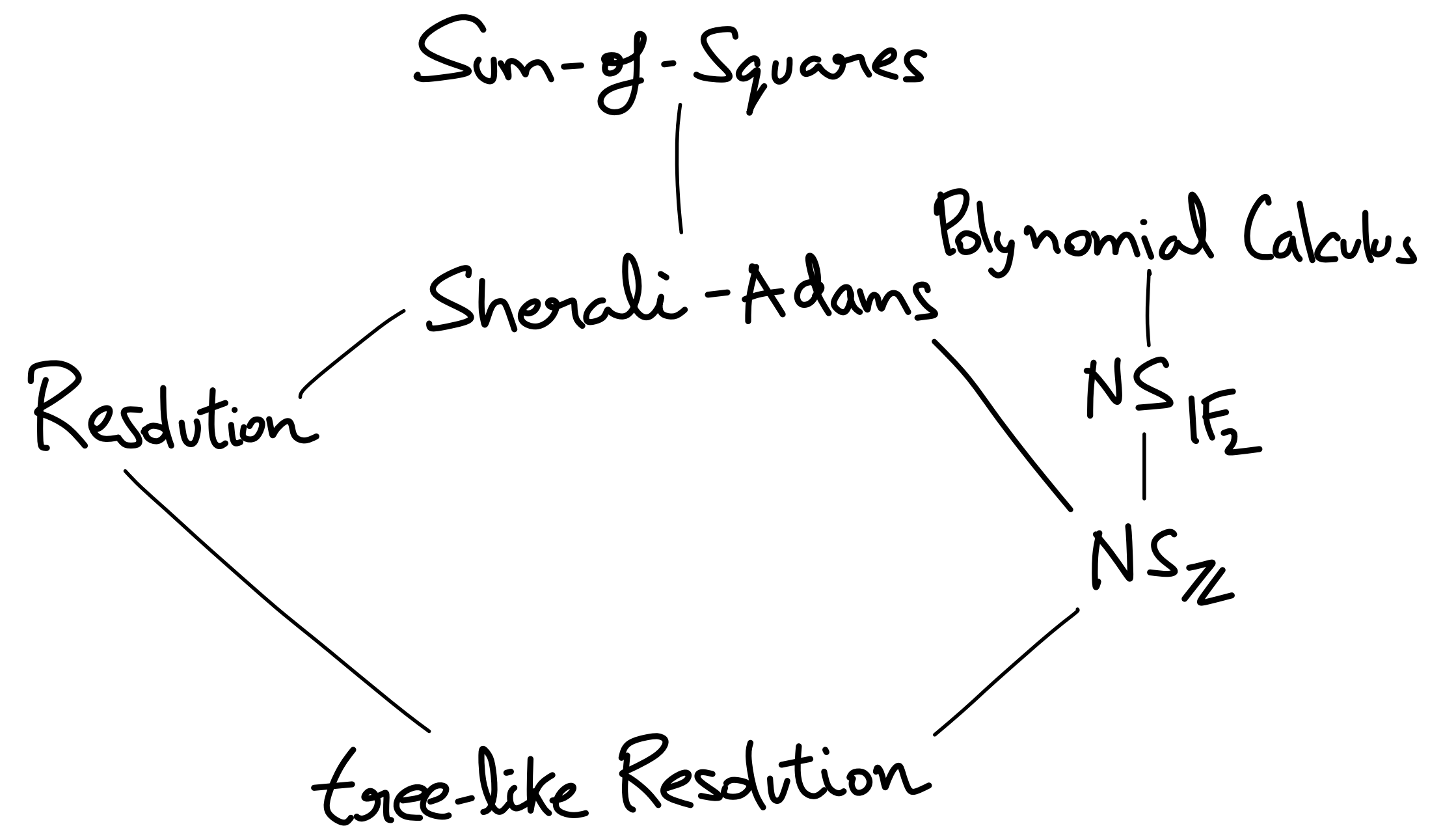
Time to Squint



Time to Squint



???



The Bridge: Characterizations

- $TFNP^{dt}$ **search problems** can be translated into **CNF fallacies**

SINK-OF-DAG \mapsto "this dag has
no sinks"

The Bridge: Characterizations

- $TFNP^{dt}$ **search problems** can be translated into **CNF fallacies**
- **CNF fallacies** define **search problems**

$$\varphi = x_1 \wedge (\bar{x}_1 \vee \bar{x}_2) \wedge x_2 \mapsto \begin{array}{l} \text{find}(x_1, x_2) \\ \text{falsified clause} \end{array}$$

More Explicitly



מכון ויצמן למדע

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REPORTS > DETAIL:

Revision(s):

[Revision #2 to TR22-141 | 30th November 2022 03:22](#)

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TFNP Characterizations of Proof Systems and Monotone Circuits



Authors: [Sam Buss](#), [Noah Fleming](#), [Russell Impagliazzo](#)

Accepted on: 30th November 2022 03:22

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[Revision #2](#) Keywords:

Abstract:

Connections between proof complexity and circuit complexity have become major tools for obtaining lower bounds in both areas. These connections -- which take the form of interpolation theorems and query-to-communication lifting theorems -- translate efficient proofs into small circuits, and vice versa, allowing tools from one area to be applied to the other. Recently, the theory of TFNP has emerged as a unifying framework underlying these connections. For many of the proof systems which admit such a connection there is a TFNP problem which characterizes it: the class of problems which are reducible to this TFNP problem via query-efficient reductions is equivalent to the tautologies that can be efficiently proven in the system. Through this, proof complexity has become a major tool for proving separations in black-box TFNP. Similarly, for certain monotone circuit models, the class of functions that it can compute efficiently is equivalent to what can be reduced to a certain TFNP problem in low communication. When a TFNP problem has both a proof and circuit characterization, one can prove an interpolation theorem. Conversely, many lifting theorems can be viewed as relating the communication and query reductions to TFNP problems. This is exciting, as it suggests that TFNP provides a roadmap for the development of further interpolation theorems and lifting theorems.

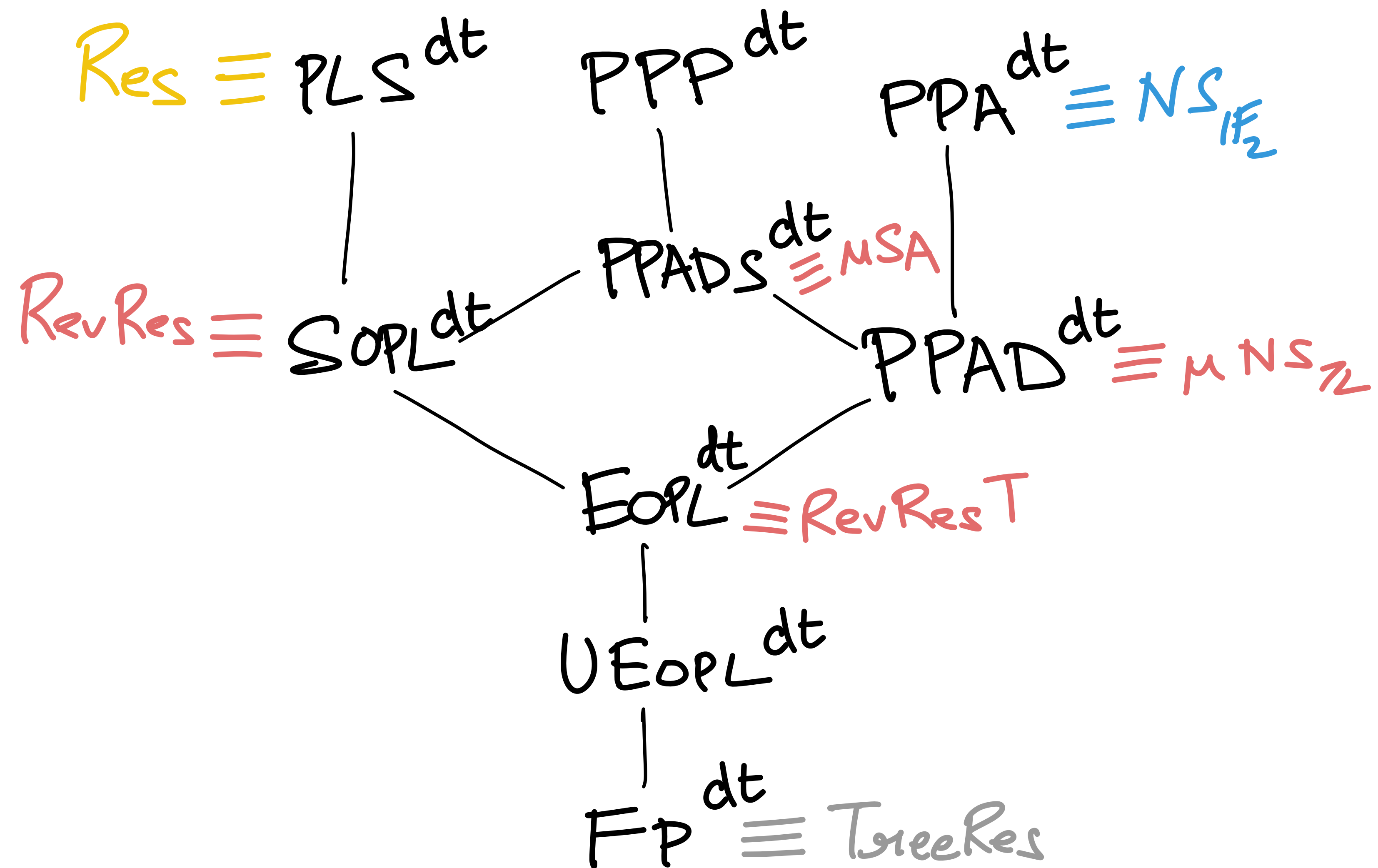
TFNP Problems

!!!

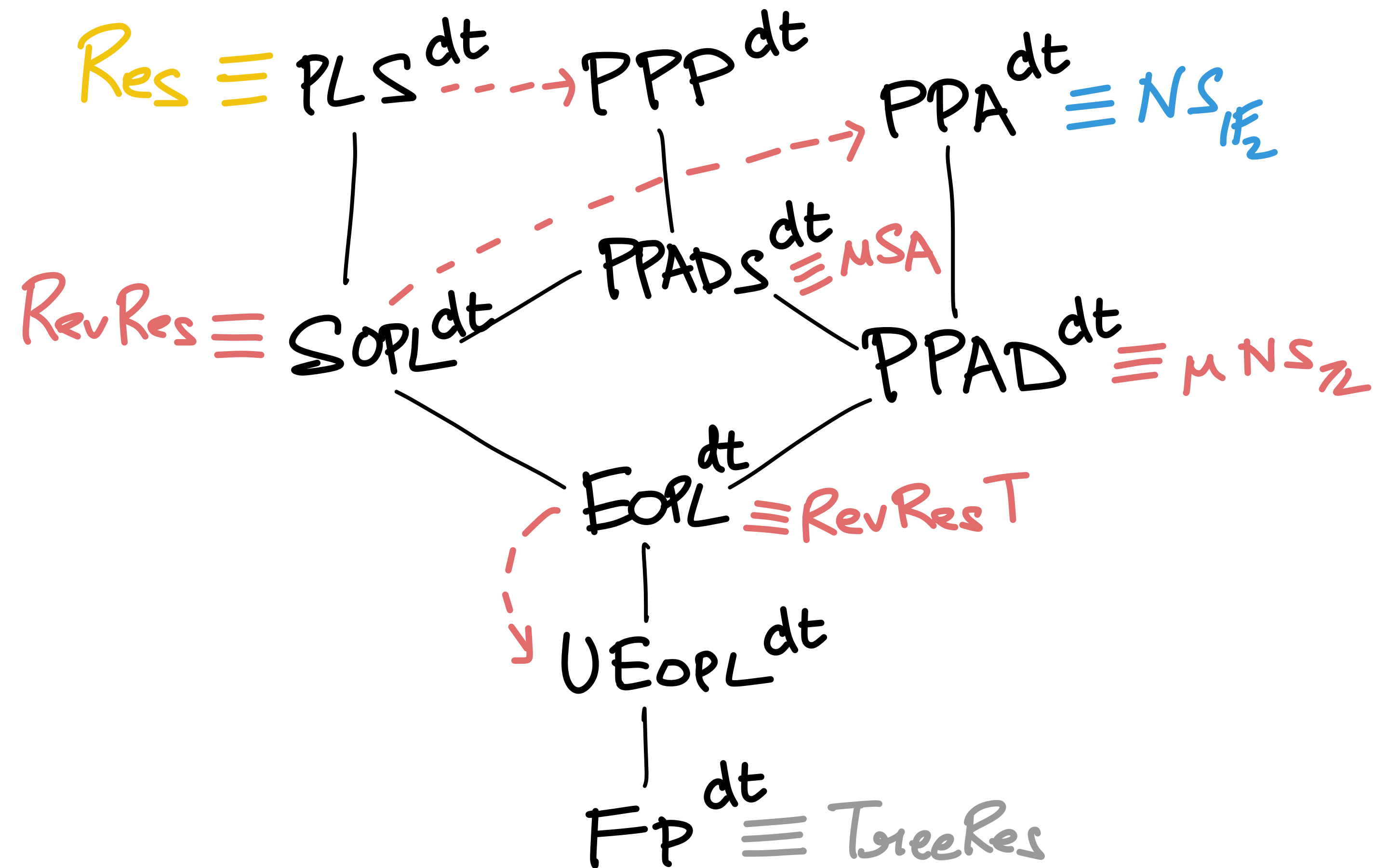
Proof Systems with

Reflection Principle

The Bridge: Characterizations

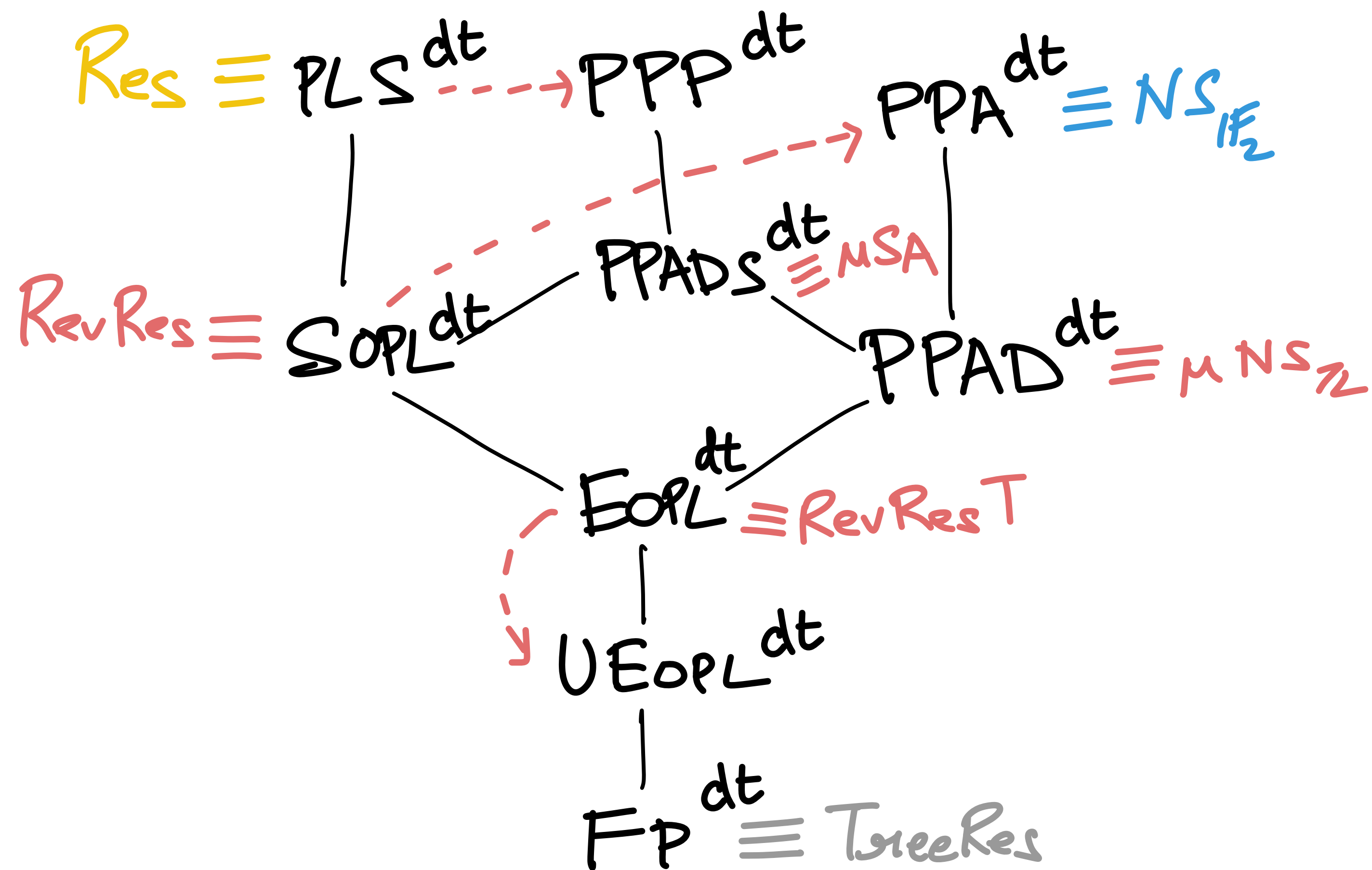


The Bridge: Characterizations

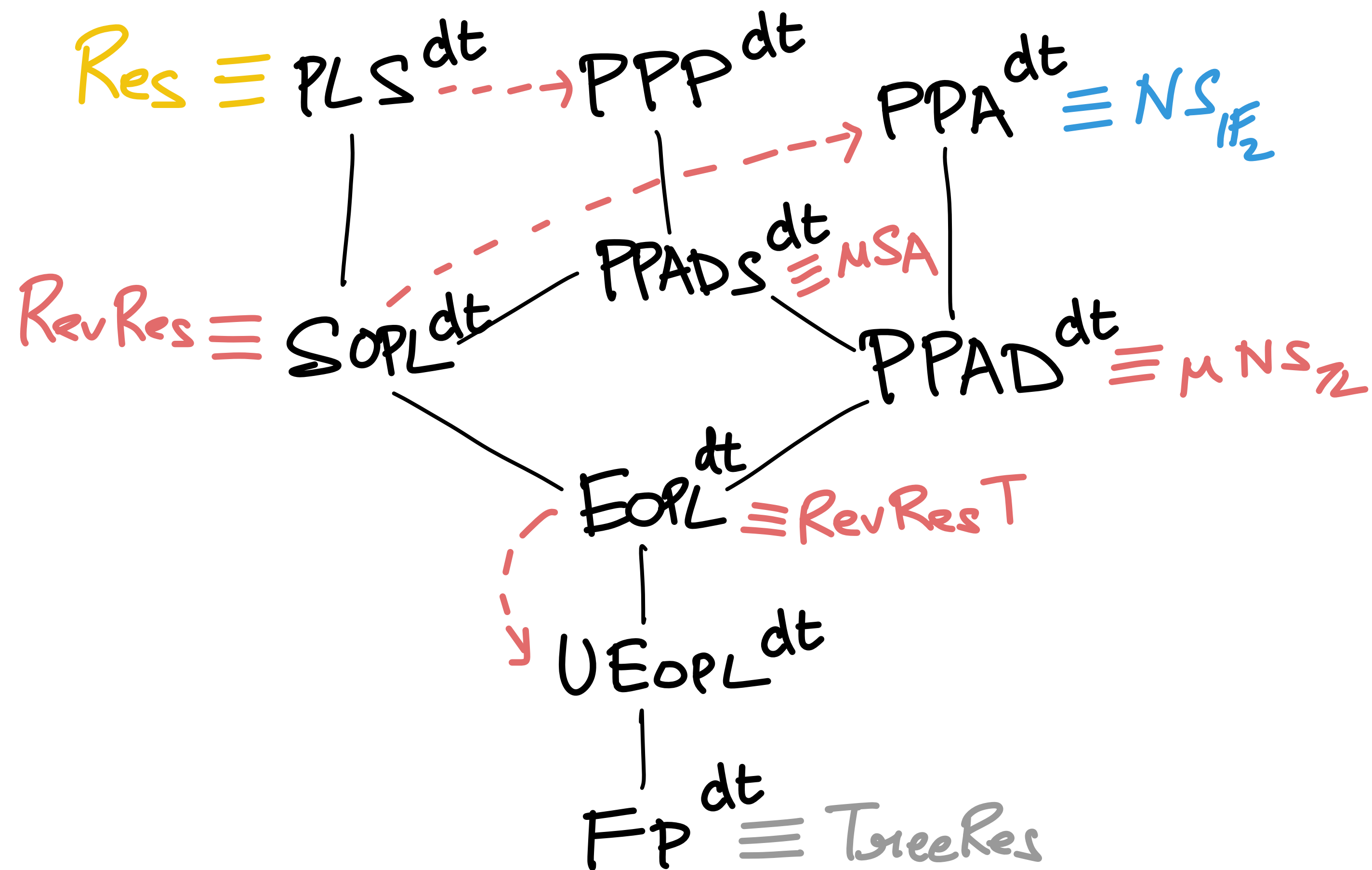


The Bridge: Characterizations

Results rephrased:



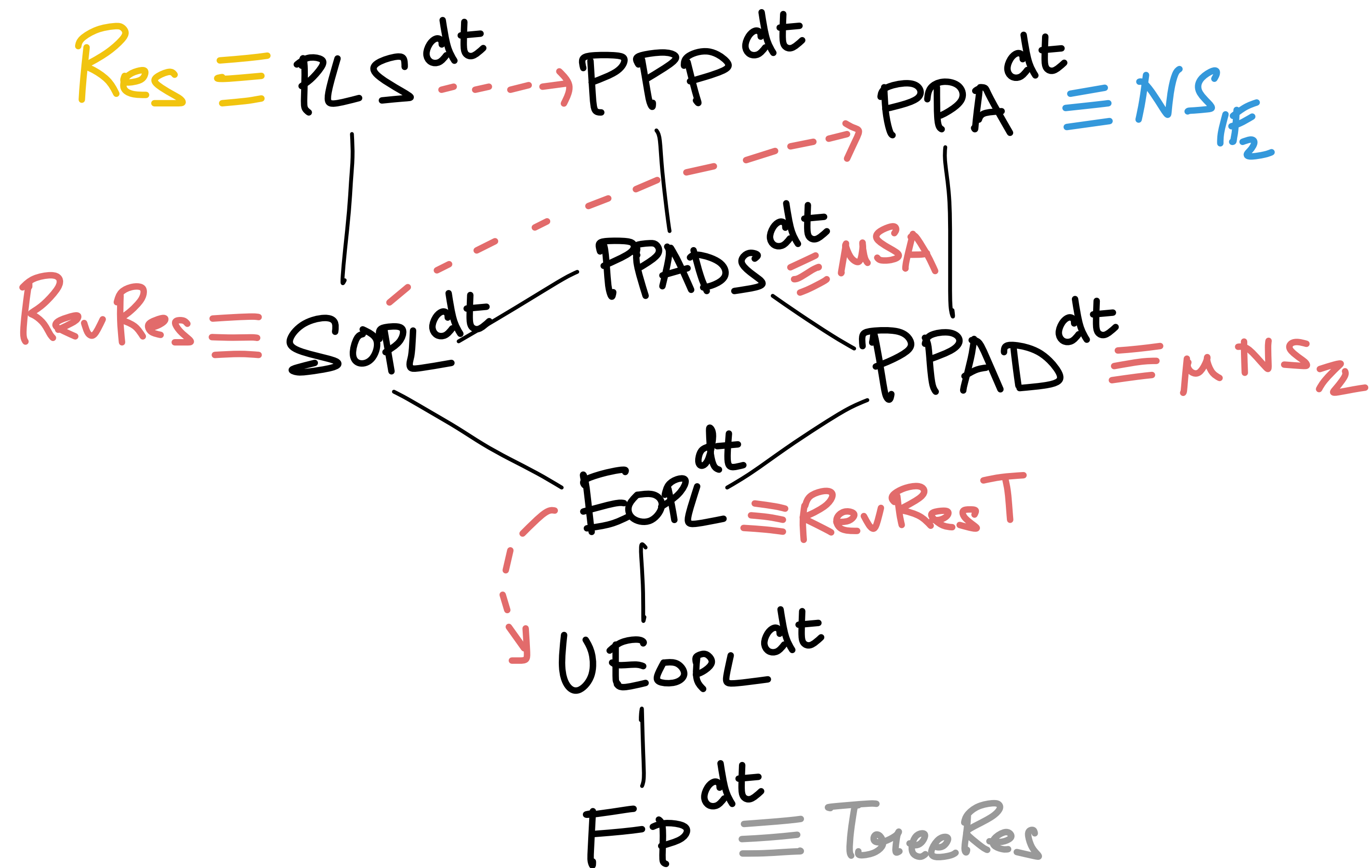
The Bridge: Characterizations



Results rephrased:

- $Res \not\equiv uSA$

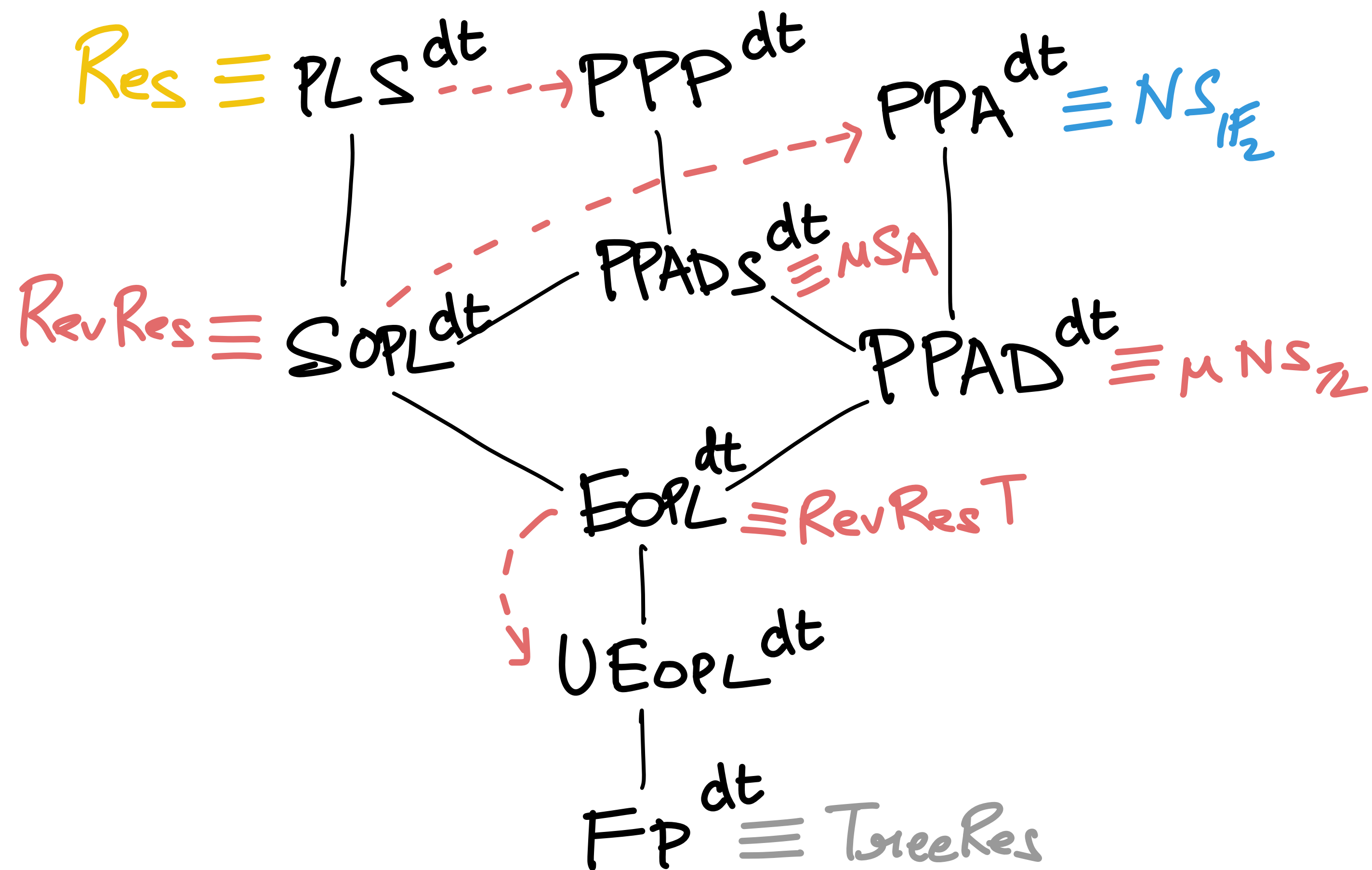
The Bridge: Characterizations



Results rephrased:

- $Res \not\equiv uSA$
- $RevRes \not\equiv NS$

The Bridge: Characterizations



Results rephrased:

- $Res \not\equiv uSA$
- $RevRes \not\equiv NS$

Independent work: $PLS^0 \not\equiv PPADS^0 \Rightarrow PLS^0 \not\equiv PPP^0$ by [BT22]

Some Characterizations

let's see why:

① Resolution width \approx PLS^{dt} depth

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Formally, given $R(x, y) \in PLS^{dt}$; PLS^{dt} depth
Reduction: construct vertex set V = depth of reduction to SoD

For every $v \in V$, we have decision trees

$$\pi_v(x) = s_v$$

$$O_v(x) = y \text{ s.t. } (x, y) \in R \text{ if } v \text{ is a sink}^*$$

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$$PLS^{dt} \text{ depth} = \log |V| + \max_{v \in V} |\pi_v|$$

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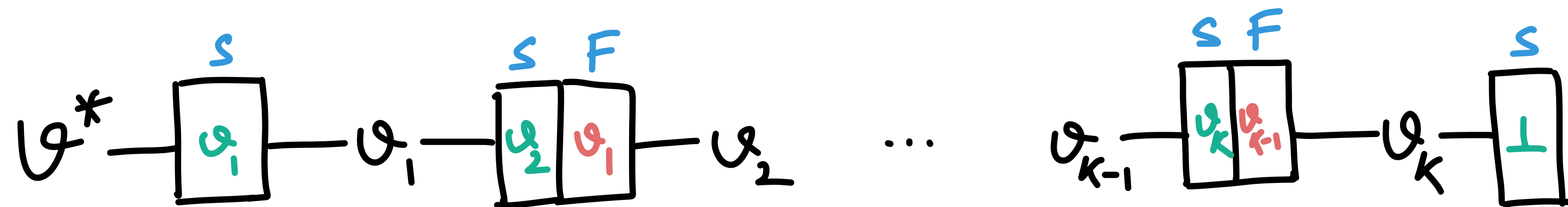
We will use Prover-Delayer characterization

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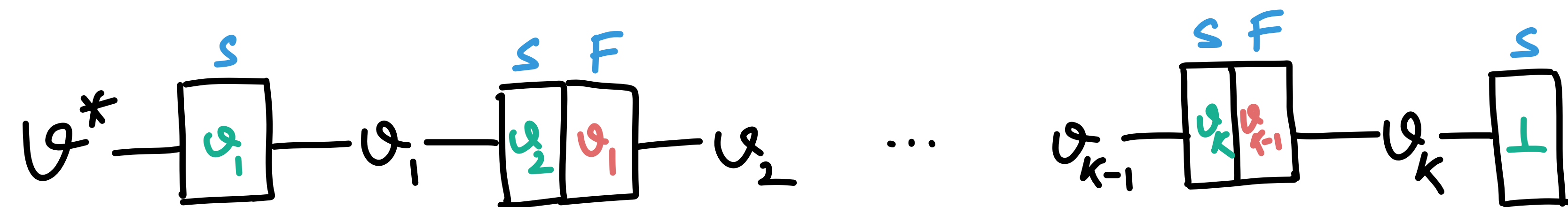


Some Characterizations

let's see why:

① a Resolution width $\leq \text{PLS}^{\text{dt}}$ depth

We will use Prover-Delayer characterization



$$\text{Res Width} \leq 2 \log |V| + \max_{v \in V} |\Pi_v| \leq 2 \cdot \text{PLS}^{\text{dt}} \text{ depth}$$

Some Characterizations

let's see why:

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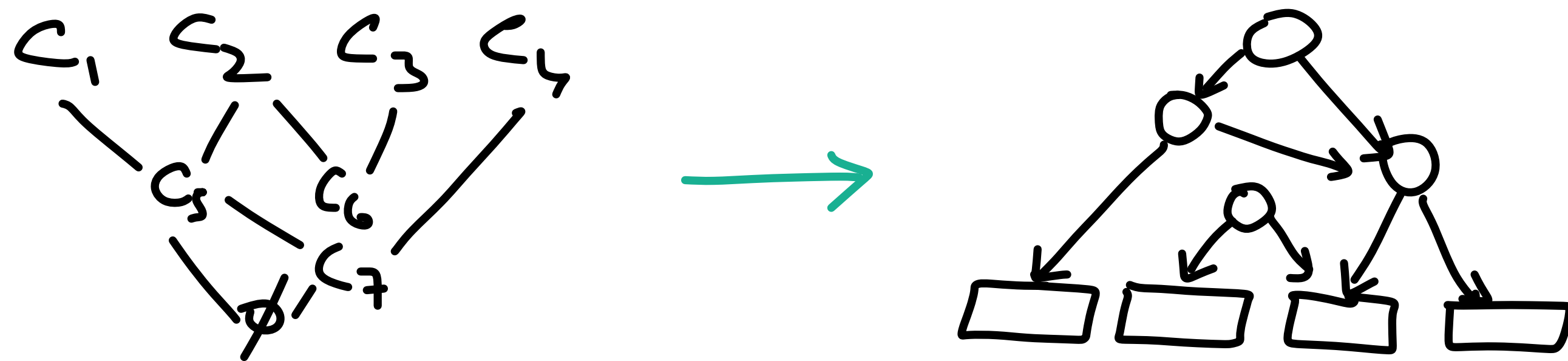
Just have to Flip The Proof!

Some Characterizations

let's see why:

① \boxed{b} Resolution width \geq PLS^{dt} depth

Dag-like Res proof is already kinda a SoD reduction
Just have to Flip The Proof!

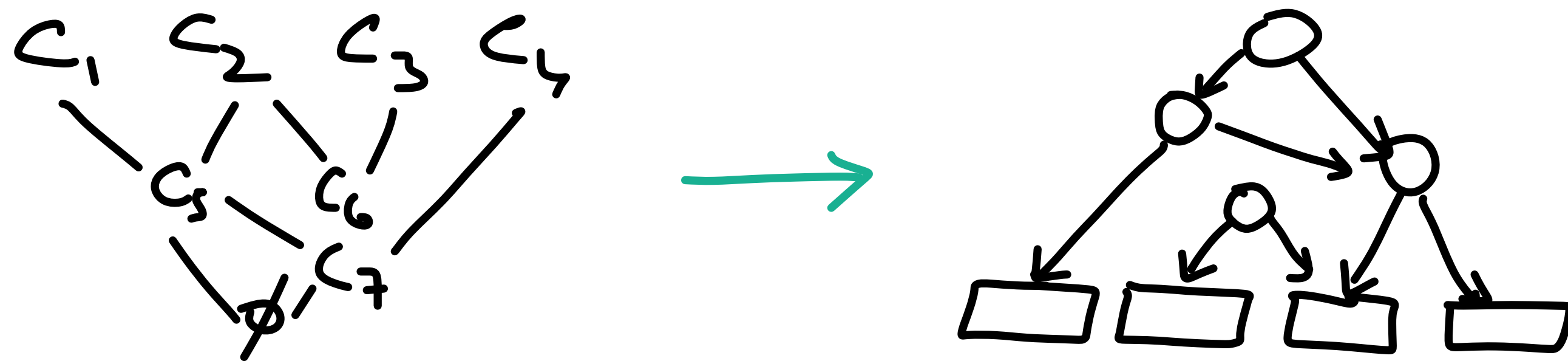


Some Characterizations

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① \boxed{b} Resolution width \geq PLS^{dt} depth

Dag-like Res proof is already kinda a SoD reduction
Just have to Flip The Proof!



$$\text{PLS}^{\text{dt}} \text{ depth} \leq \log(\text{Res Size}) + \text{Res Width}$$

Some Characterizations

let's see why:

- ① Resolution width \approx PLS^{dt} depth
- ② Unasy NS deg \approx PPAD^{dt} depth

Lemma : If \exists depth d EoL-formulation of F
then \exists uNS refutation of F
with degree $O(d)$ and size $L2^{O(d)}$.

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Proof : EoL formulation : $(V=[L], \{s_a, p_a, o_a\})$

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Proof: EoL formulation: $(V=[L], \{s_u, p_u, o_u\})$
Define $S_u(x) = \begin{cases} -1 & \text{if } u \neq u^* \text{ is a source in } G_x \\ 1 & \text{if } u \neq u^* \text{ is a proper sink in } G_x \\ 0 & \text{otherwise} \end{cases}$

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S_u can be computed
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← leaves are solutions

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$$\Rightarrow \sum_{u \in V} S_u = \sum_i p_i \bar{C}_i$$

for some $\{p_i\}$

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↖ leaves are solutions

$$\Rightarrow \sum_{u \in V} S_u = \sum_i p_i \bar{C}_i = \# \text{sinks in } G_x - \# \text{non-}u^* \text{ sources in } G_x = 1$$

for some $\{p_i\}$

.

.

Lemma : If \exists UNS refutation of F with deg d and size L
then \exists deg $O(d)$ $E_{O(L)}$ -formulation of F .

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then $\exists \deg O(d)$ **$E_{O(L)}$** -formulation of F .

Proof : The refutation: $\sum_{i=1}^m p_i \bar{C}_i = 1 = \sum_i \sum_j c_{ij} q_{ij}$

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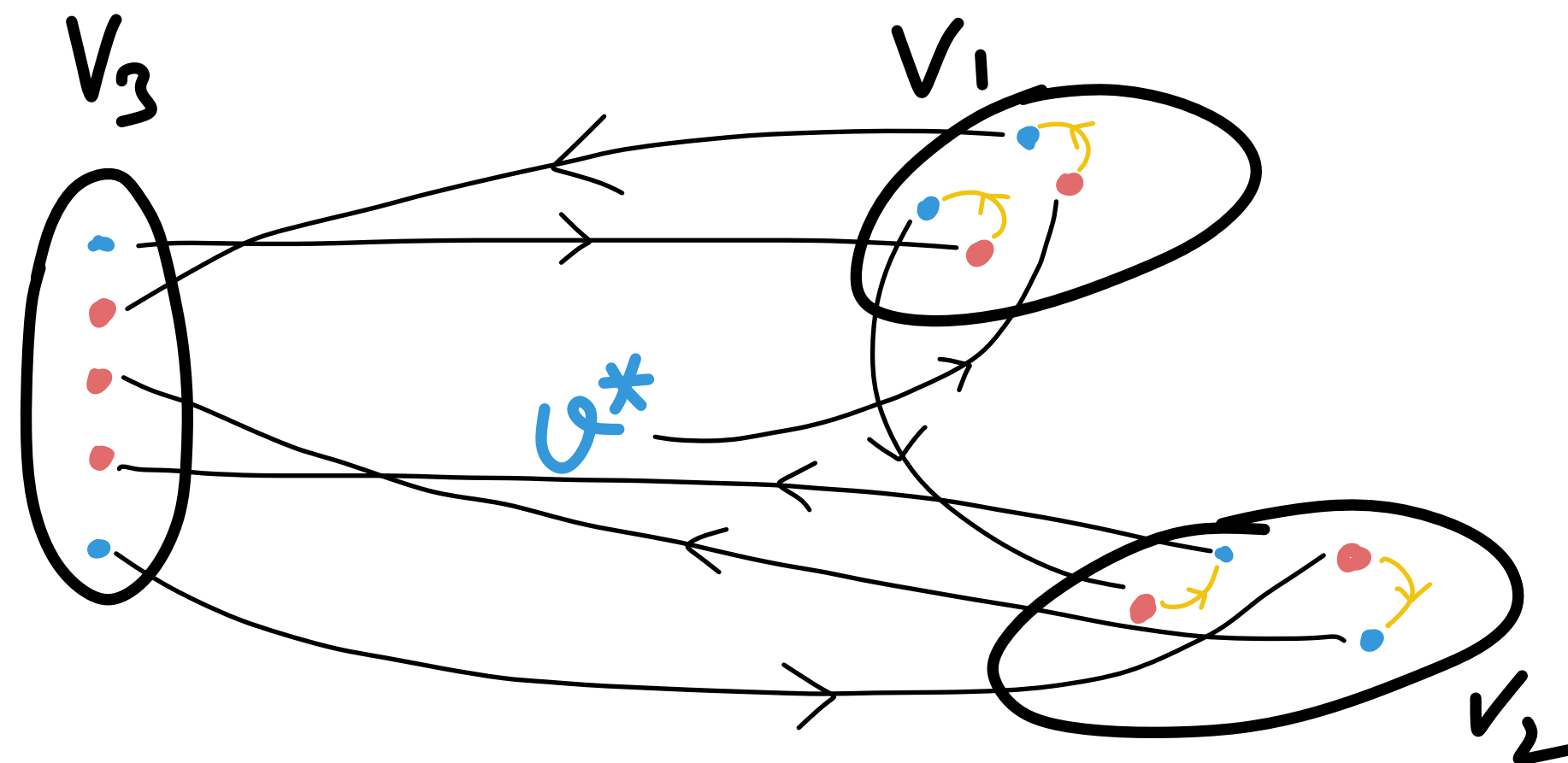
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LEGEND

- $u \in +$
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- \rightarrow fixed edge
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On Separations

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Key Lemma:

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NOTE: Not a Cook-Reckhow proof system!
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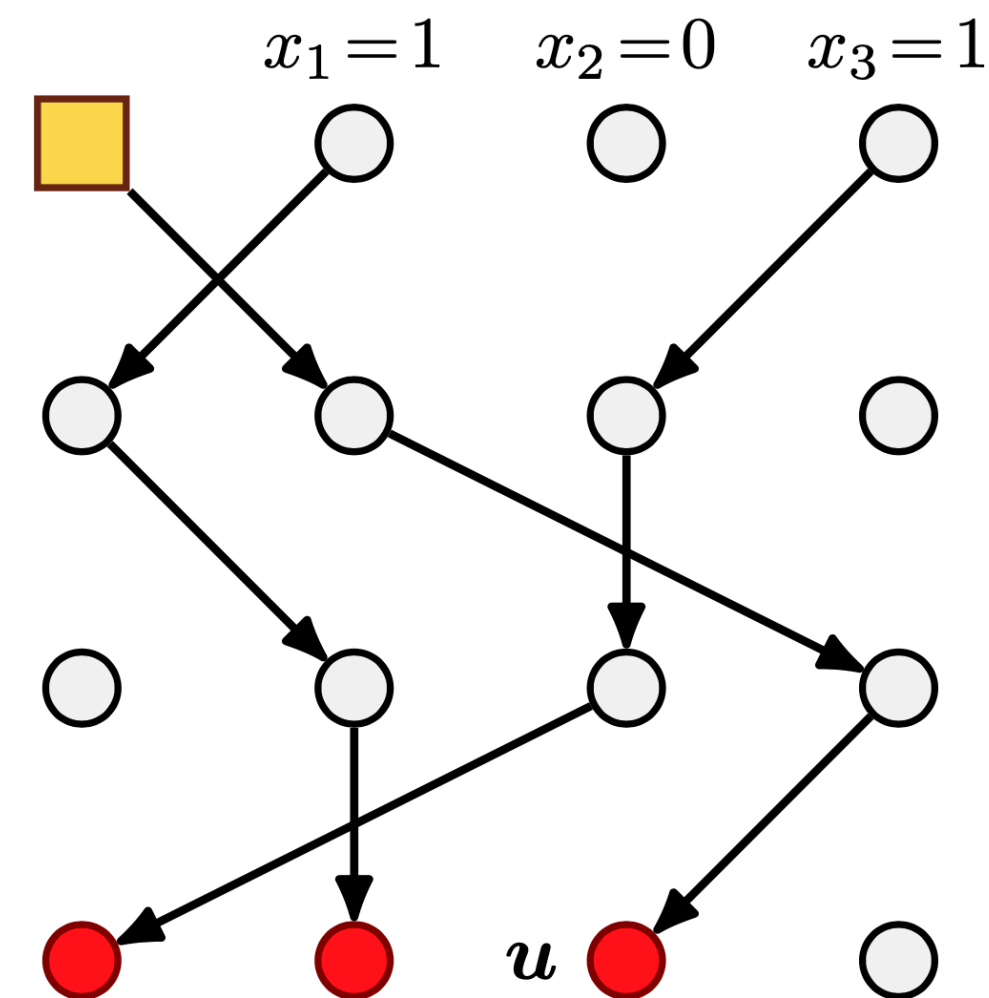
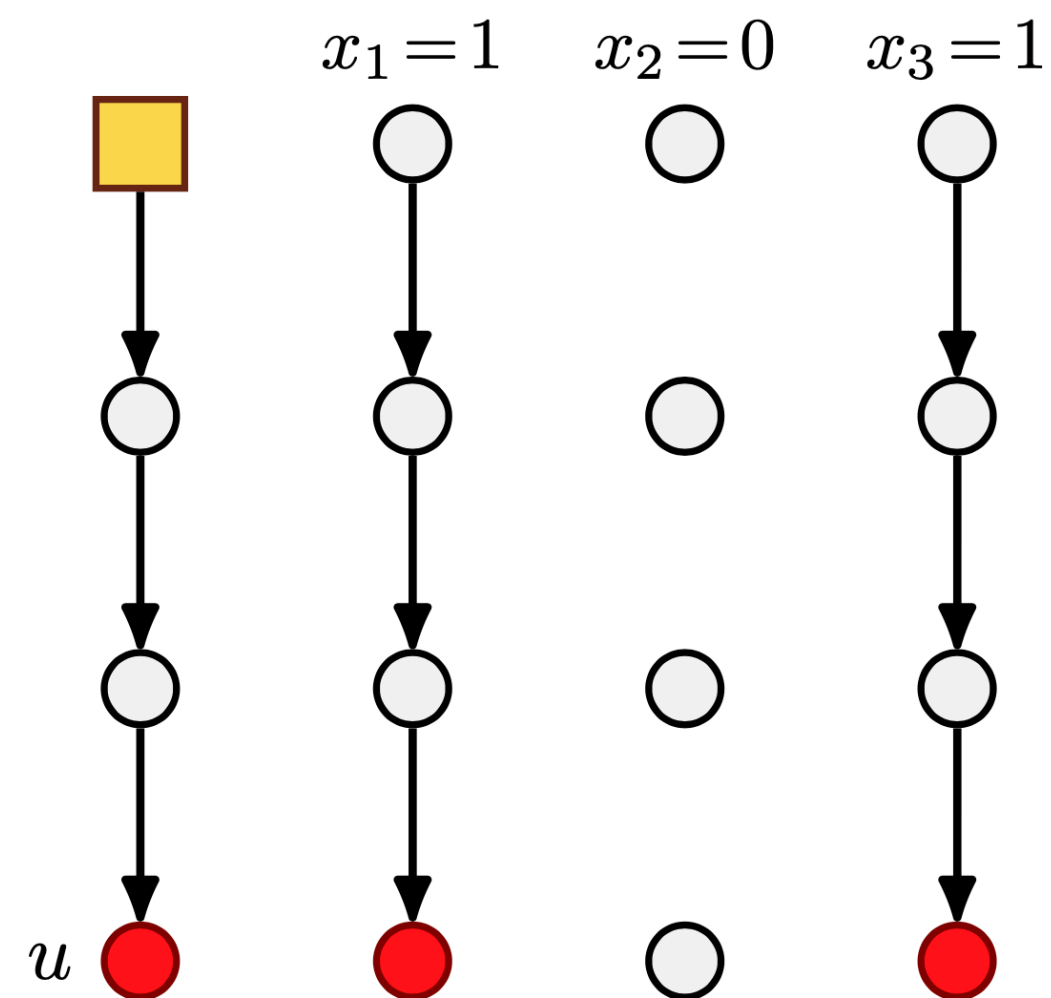
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↳ Randomised reduction is a distribution over reductions

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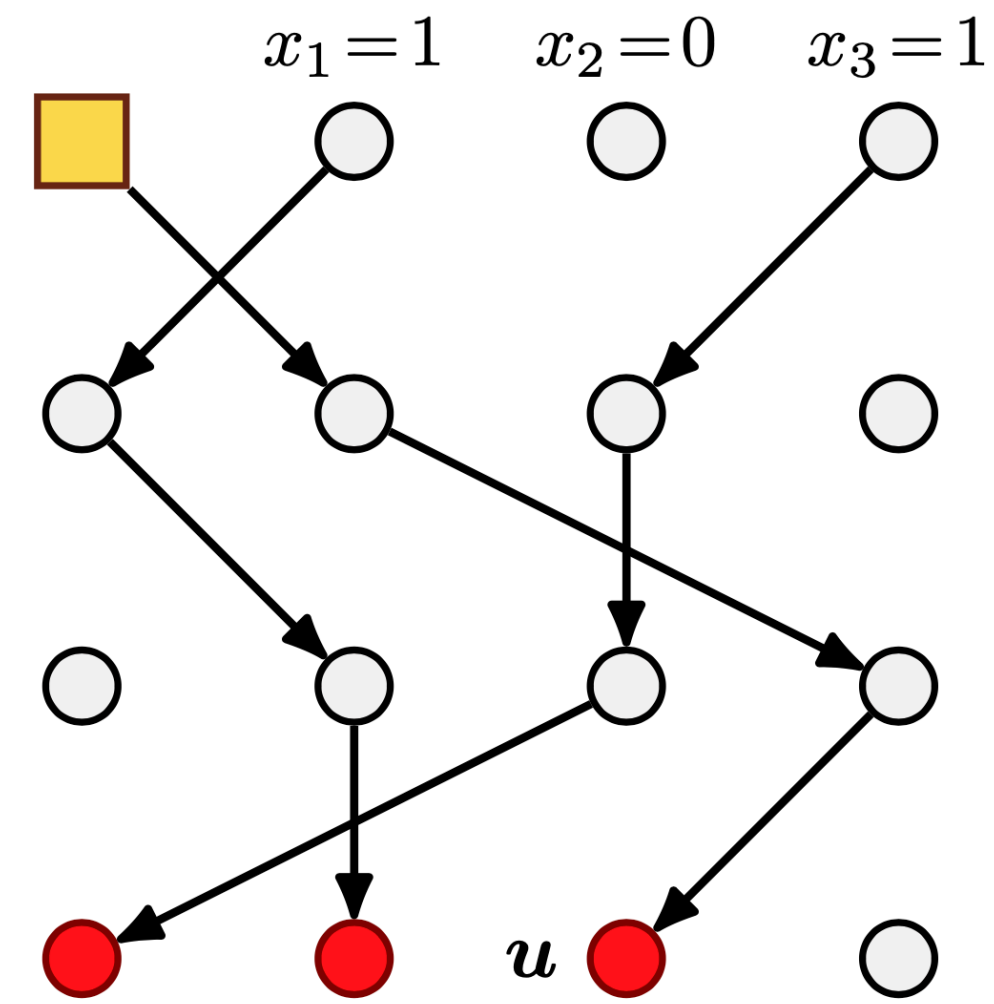
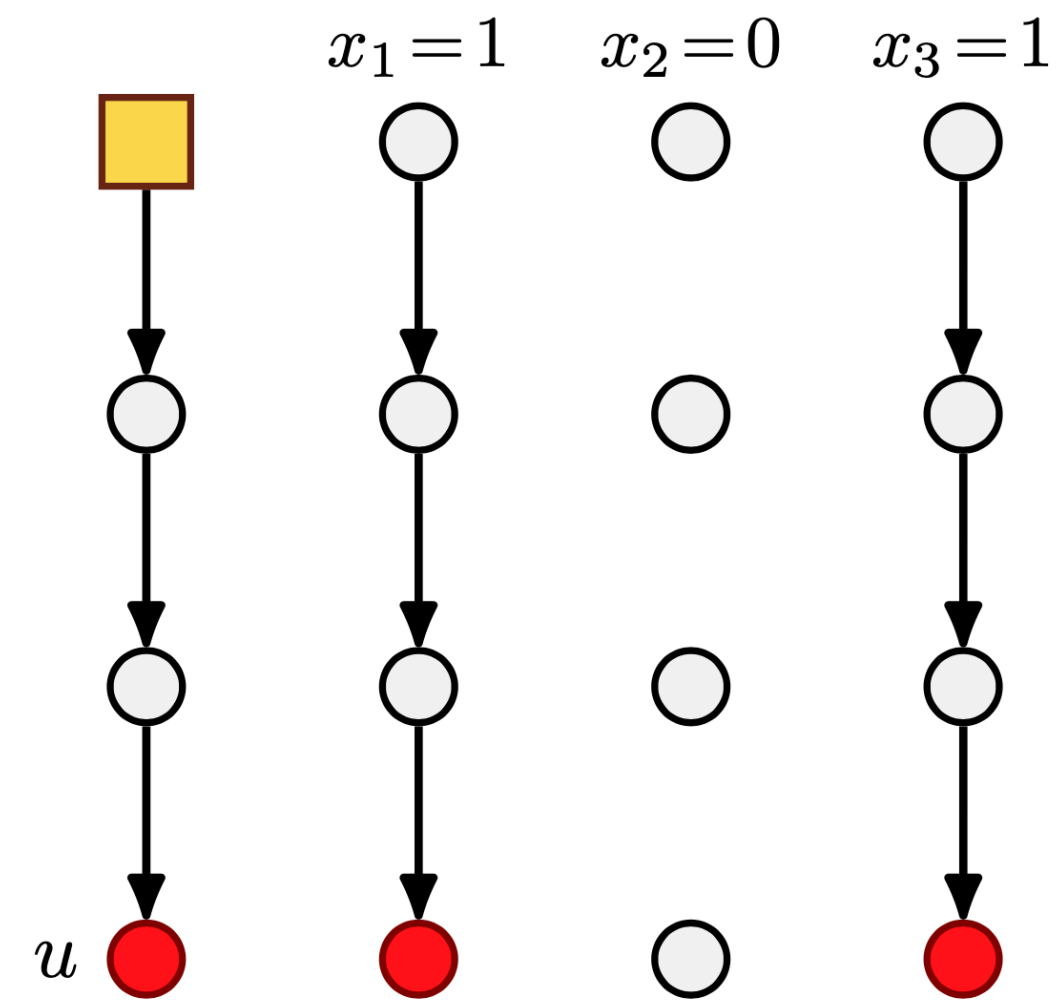
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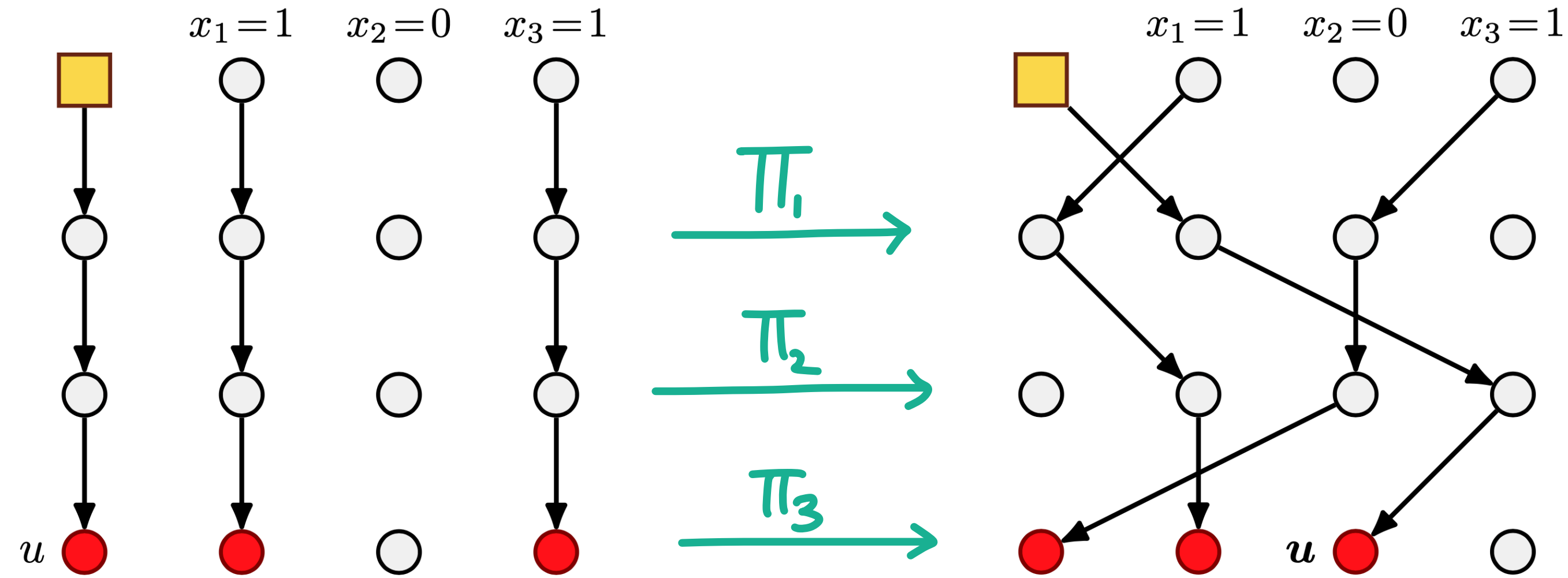
using that it's
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Our Reduction



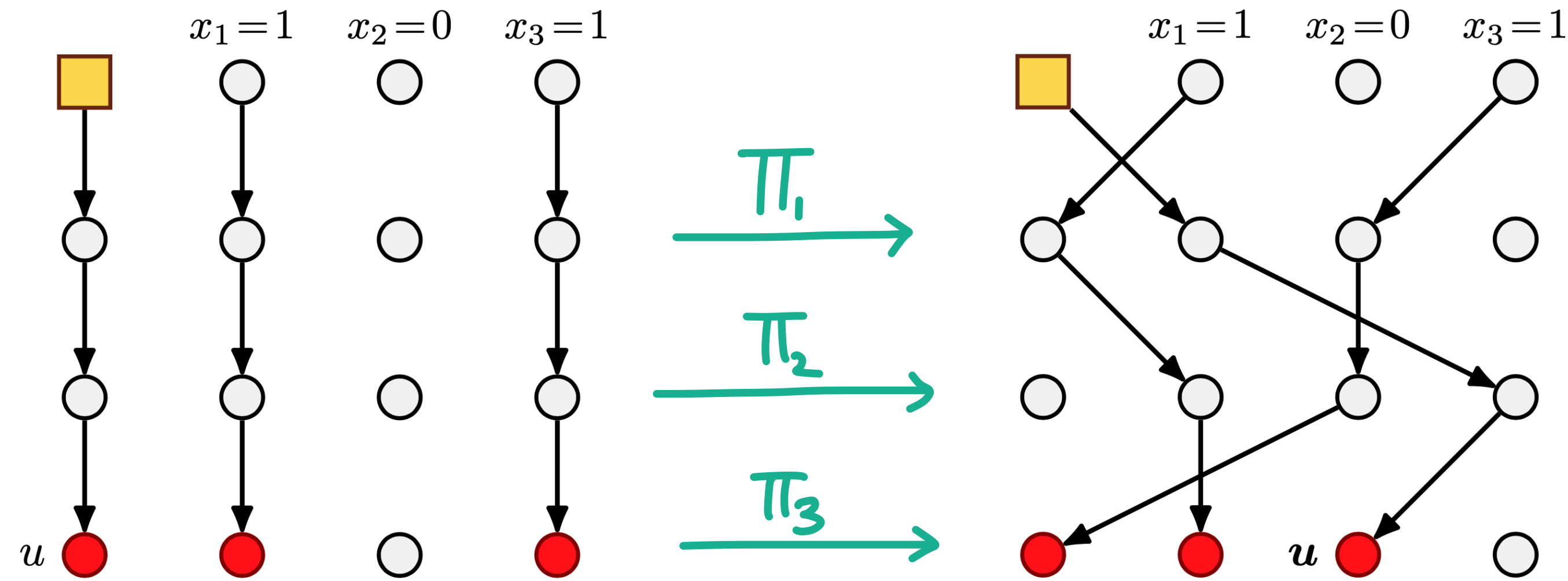
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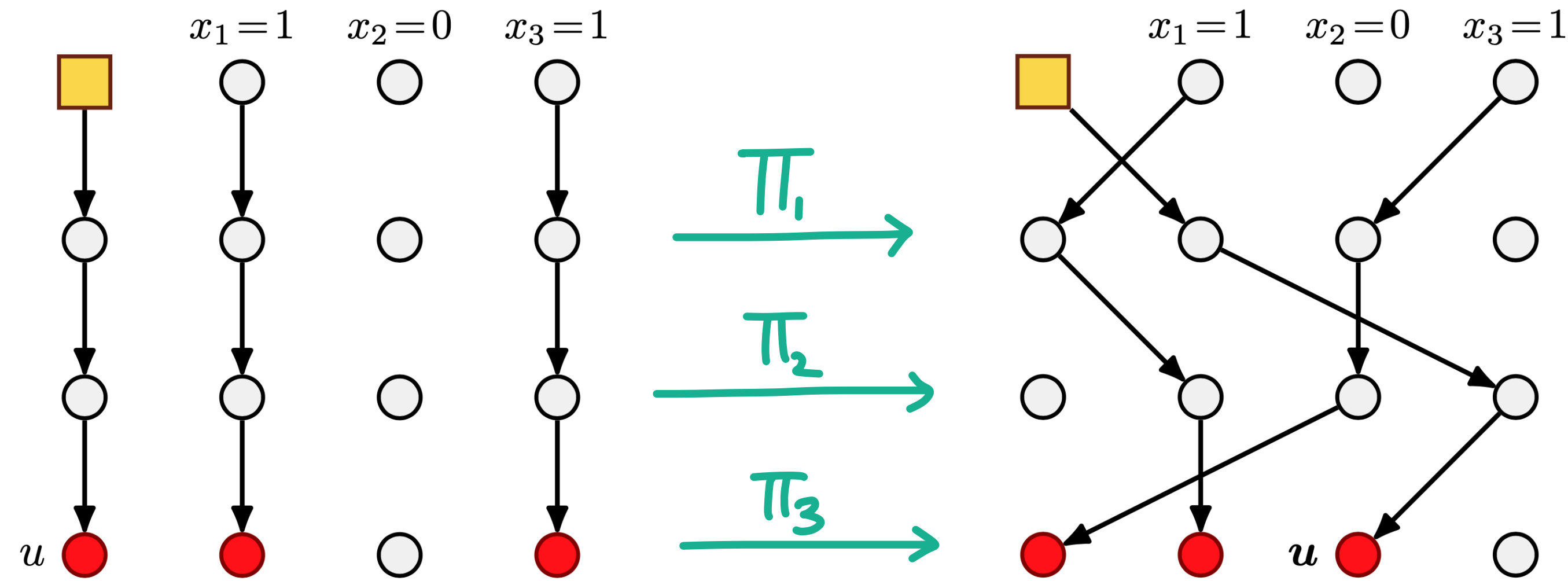
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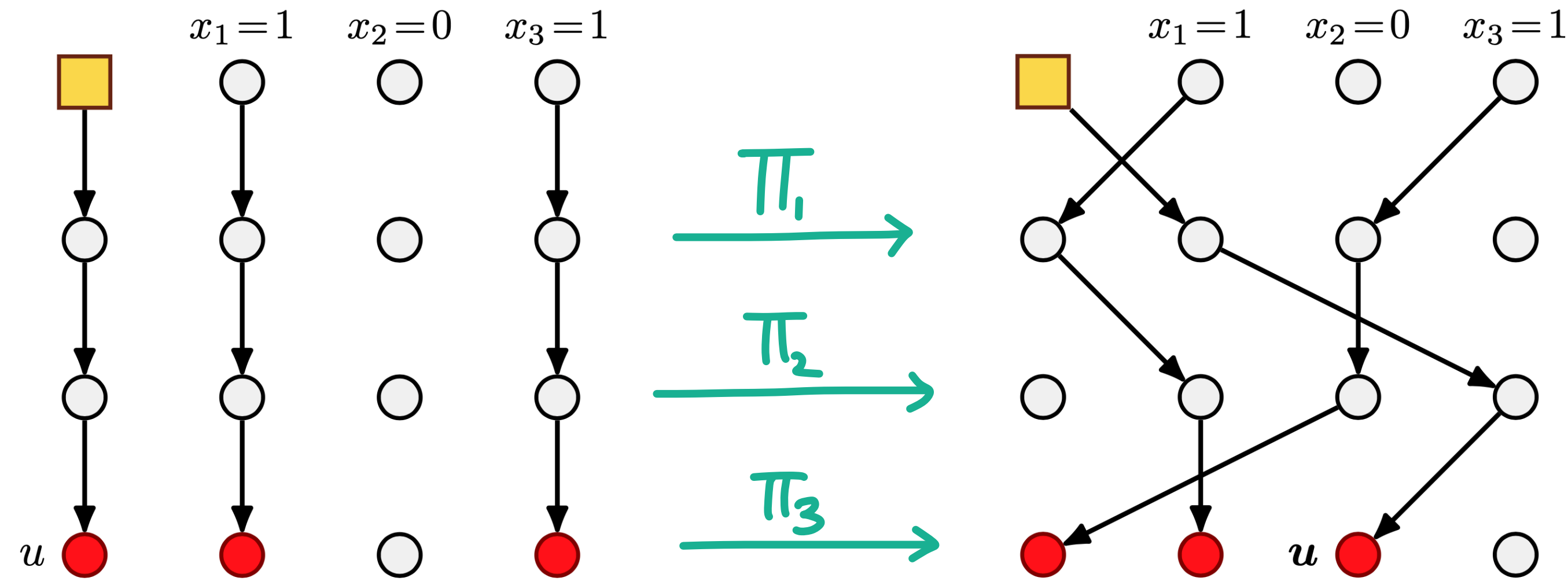
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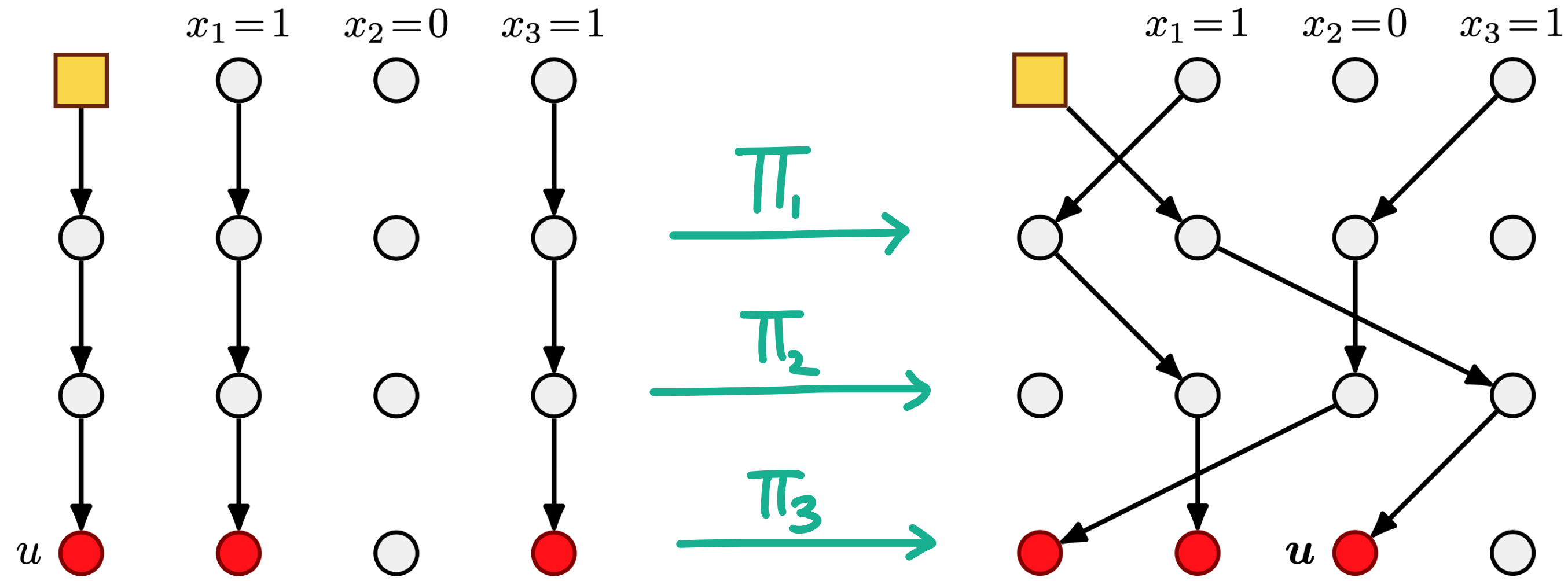


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Note $|Sol(y)| = 1 + |x|$ w.p. 1

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be the active nodes. We can apply a random bijection $A \rightarrow B$ and get an Ideal reduction.

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Hard instance for ϵ -NS \rightarrow l.b. on $J(x)$ in SA

$$\sum_i p_i(x) a_i(x) = 1 + J(x)$$

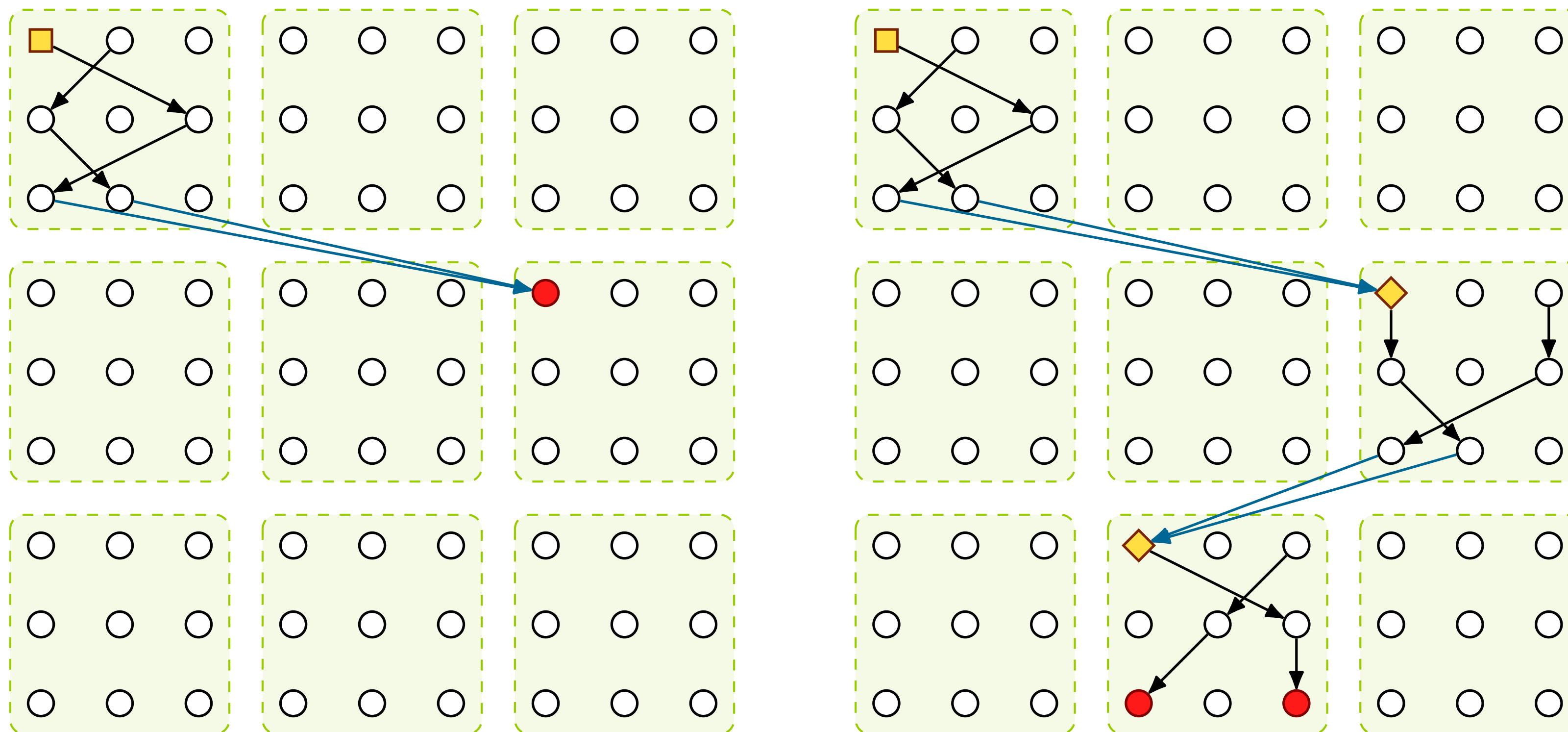
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Thanks!
for your attention!